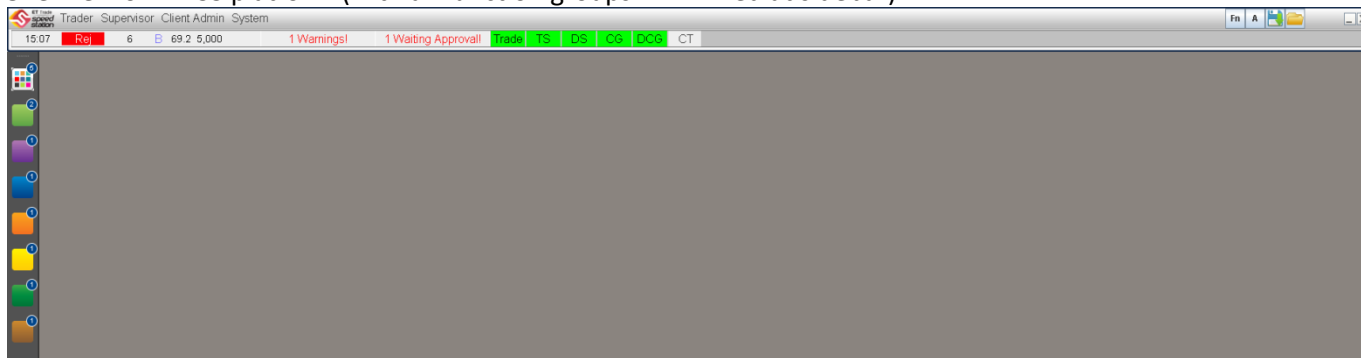


# 1 ET Trade Speed Station Application Layout

Overview of ETSS platform with MWS parody and 3 types of All-in-One Tickets.



Overview of ETSS platform (with all function groups minimized at sidebar)



## 1.1 Menu

Trader Supervisor Client Admin System

The user menu consists of four sections:

- Trader: The menu consists of security trading and client information.
- Supervisor: Credit check approval and broker level's bulk cancel specific for supervisors only
- Client Admin: The menu consists of accounts, client maintenance and user maintenance dedicated to Admin.
- System: The system parameters, passwords, and status maintenance.

### 1.1.1 Trader

Overview of Trader menu

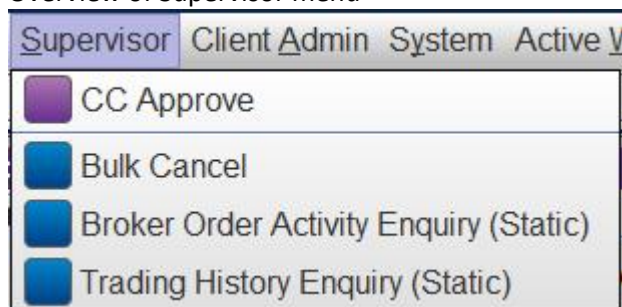


Trader menu has 7 functions provided for traders:

- MWS Parody: Open the simulation of former Multi-Work Station System and terminal
- User Messages Enquiry: Enquire messages including price warning and rejected message
- Security Ticket: All-in-one trading ticket with client mapping
- Warrant Ticket: All-in-one warrant ticket with client mapping
- AE Ticket: All-in-one trading ticket with trade book
- Client Info: Browse client information including purchasing power and stock available
- Client List: Browse the list of customers under an user

### 1.1.2 Supervisor

#### Overview of Supervisor menu

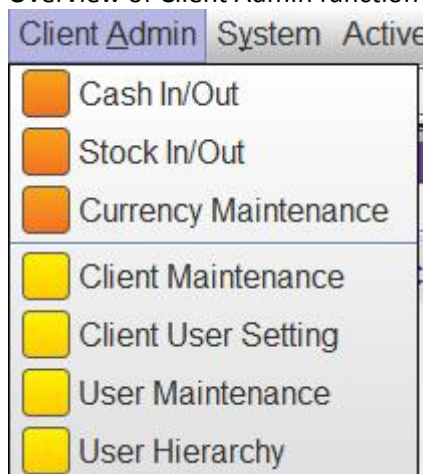


Supervisor menu has 4 functions provided for supervisors:

CC Approve:	Approval summary for supervisor to review orders that exceed the credit limit
Bulk Cancel:	Cancel orders and requests at broker level
Broker Order Activity Enquiry (Static):	View the Intra-day order activity at user level
Trade History Enquiry (Static):	View the previous trading history at user level

### 1.1.3 Client Admin

#### Overview of Client Admin function



Client Admin menu has 7 functions provided for administrator:

Cash In/Out:	Administrator deposits or withdraws cash from client account
Stock In/Out:	Administrator performs stock in or stock out for client account
Currency Maintenance:	Setup exchange currencies for ETSS
Client Maintenance:	Client account management
Client User Setting:	Assign relationship for users and client account
User Maintenance:	User account management
User Hierarchy:	Establish hierarchy for users

### 1.1.4 System

#### Overview of System function

System	Active	Window
<input type="checkbox"/>		Change Password
<input type="checkbox"/>		MPS Help
<input type="checkbox"/>		Printer Setting
<input type="checkbox"/>		Logout
<input checked="" type="checkbox"/>		Logon Status
<input checked="" type="checkbox"/>		Trade Status
<input checked="" type="checkbox"/>		OCG Login
<input checked="" type="checkbox"/>		DropCopy Login
<input type="checkbox"/>		Stock Master
<input type="checkbox"/>		Sys Parameter Maintenance
<input type="checkbox"/>		User Preference
<input type="checkbox"/>		Role Function Admin
<input type="checkbox"/>		Sys Control Maintenance
<input type="checkbox"/>		Audit Trail Enquiry
<input type="checkbox"/>		Doubtful Order
<input type="checkbox"/>		Broker ID Maintenance
<input type="checkbox"/>		Security Reference Price Maintenance
<input type="checkbox"/>		Data Exchange

System menu has 18 functions provided for users:

Available for all users

- Change Password: For users to change password
- MPS help: References for MPS function keys combination
- Printer Setting: Select default printer(s) for printing On-line Trade Journal and Journal Report
- Logout: For users to logoff ETTSS (the same as temporary logoff)
- Stock Master: Provide stock information (Static)
- User Preference: User can change the default value for trade preference

## Available for Administrators only

Logon Status:	Check user logon status
Trade Status:	Start or terminate Trade Service
OCG Login:	Login OCG session and change OCG password
DropCopy Login:	Login Drop Copy session change DropCopy password
Sys Parameter Maintenance:	Setup system parameter of ETTSS
Role Function Admin:	Manage the roles and their access rights
System Control Maintenance:	Setup system control parameter of ETTSS
Audit Trail Enquiry:	Retrieve audit trail records
Doubtful Order:	R-attach client account and User ID to order and trade after system failover
Broker ID Maintenance:	Maintenance Broker ID that is available for users
Security Reference Price Maintenance:	Add reference price on securities which has no nominal price for credit approval
Data Exchange:	Perform import or export service for exchange rate and client account information