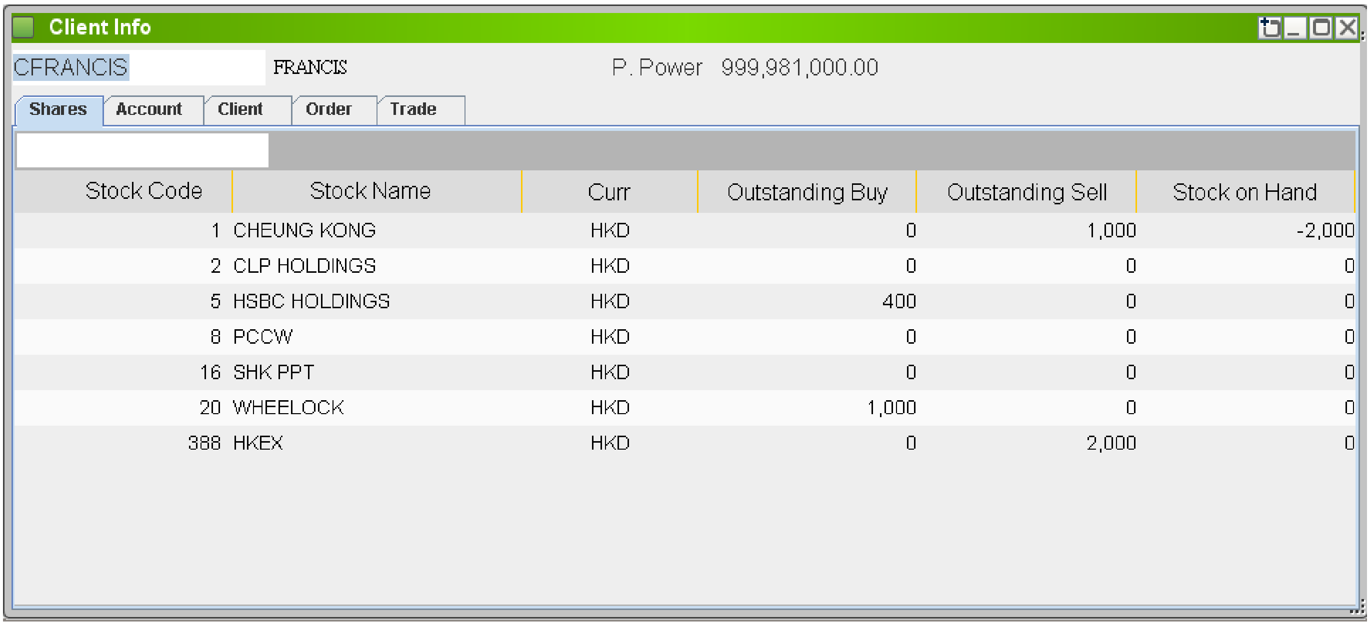
## 2.1.6 Client Information

This function is a non-real-time enquiry; it displays the details about a particular client. User selects a client account from the client list combo box. The details of the selected client are displayed, including general setting, contact information limit setting, and cash & holding information. Only the client's assigned trader or the assigned trader's supervisor will have access right to client's details.

Interface:




**Enquiry of client Information**

Enter the Client ID in combo box and then press "ENTER", related client information will be displayed on the display pane.

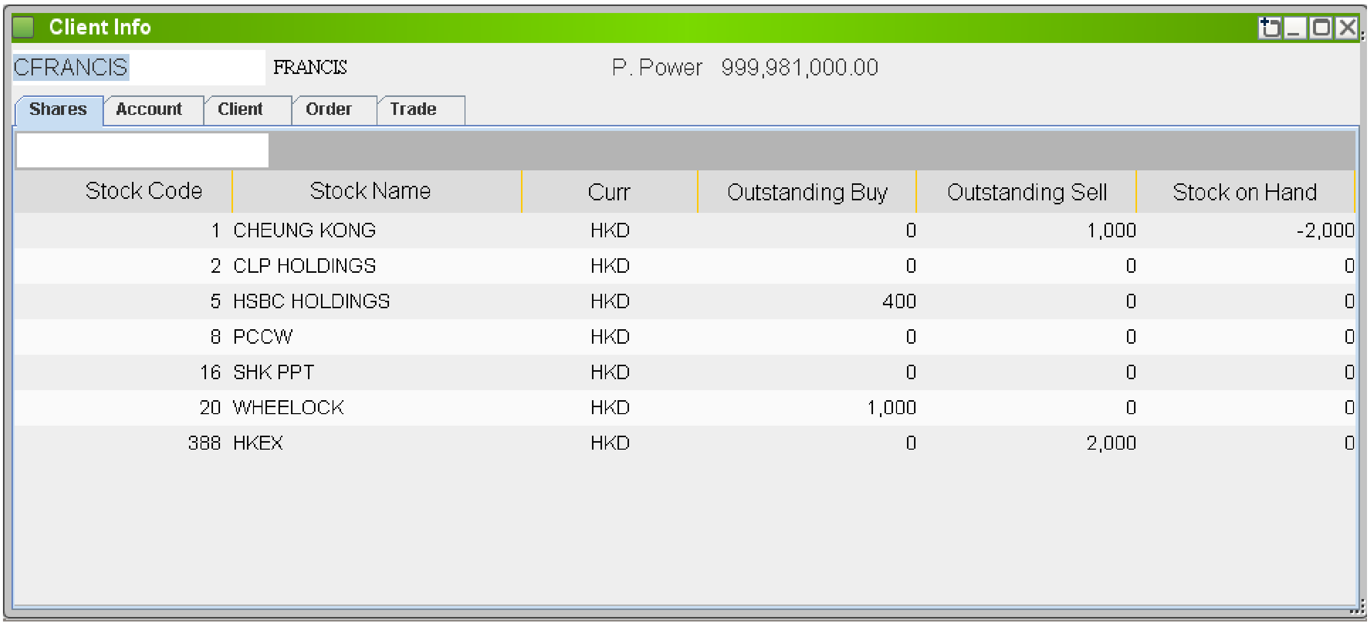
Interface Item:

| Items | Label (ENG)\ (中文) | Type | Remarks |
|---|---|---|---|
| Client | - N/A | Combo Box(20) | User can input client account to query the specific client information<br>- Combo Box items will included the previous result for quick access |
| Information Panel | - N/A | Table | Click on specific tab for various client information to display, 5 different types of client information will be shown:<br>- Share (refer to 2.1.6.1)<br>- Account(refer to2.1.6.2)<br>- Client(refer to 2.1.6.3)<br>- Order(refer to 2.1.6.4)<br>- Trade(refer to 2.1.6.5) |
| P.Power | - P.Power<br>- 購買力 | Label(15) | Display the client buying power<br><br>Purchase Power = Client's cash balance + Net change of Cash in/out + Today's balance adjustment + Daily Credit |

### 2.1.6.1 Shares in Hand

Share in hand information such as stock code, Qty in hand will be displayed on the panel. This tab will be set as the default page of the client information function.

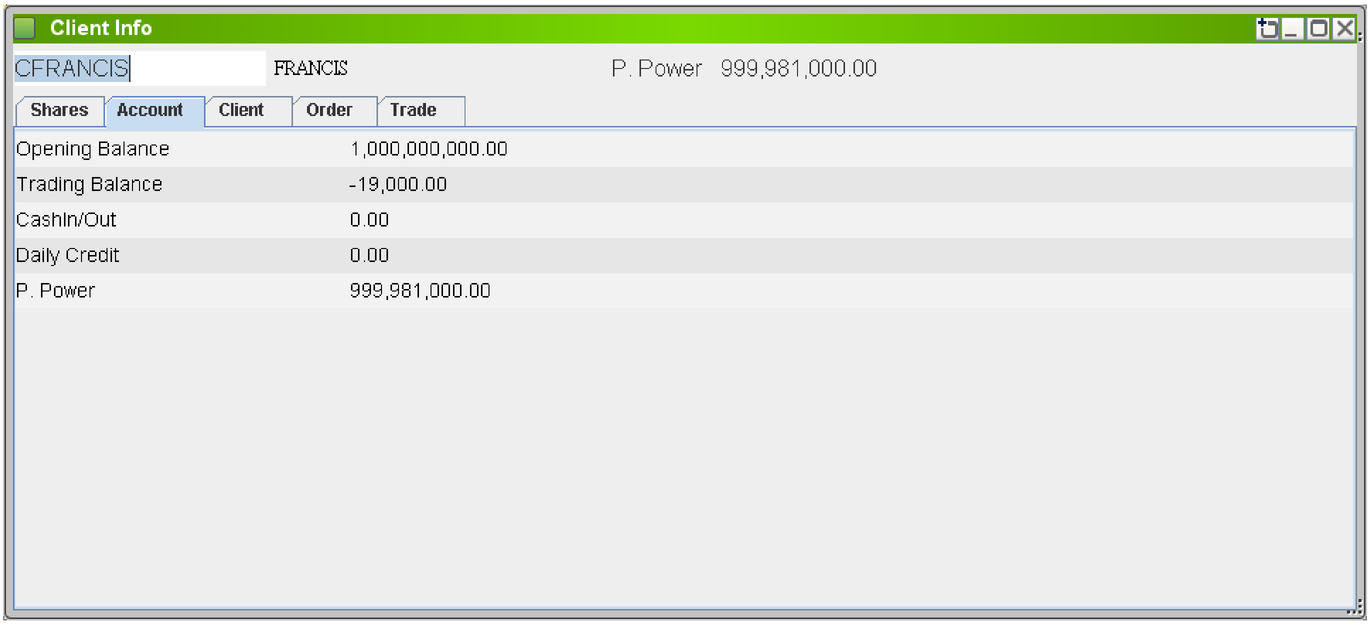
Interface:




### 2.1.6.2 Account

Account information of the client will be displayed on the information panel if clicked on Account tab. Information such as current balance, deposit amount, daily credit and purchasing power are displayed in this panel.

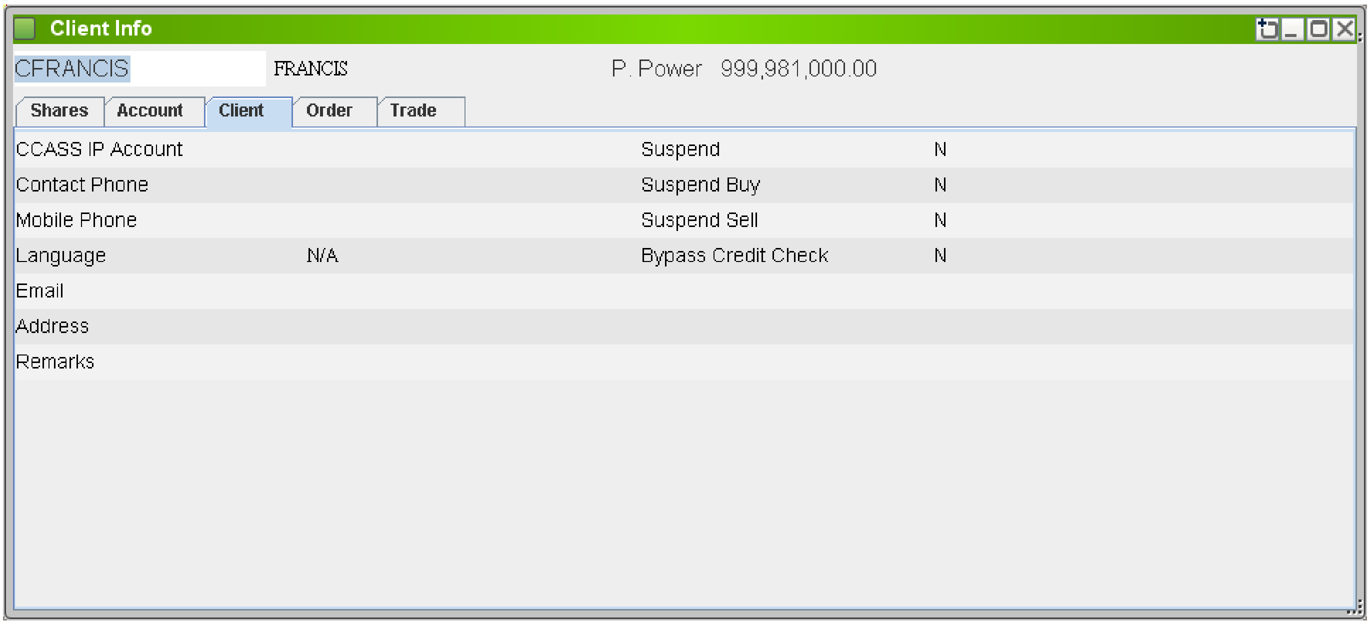
Interface:



### 2.1.6.3 Client

Personal information of the client will be displayed on the information panel if clicked on Client tab. Information such as CCASS IP Account, contact phone, mobile phone, preferred language, email, address and client's trade setting are displayed in this panel.

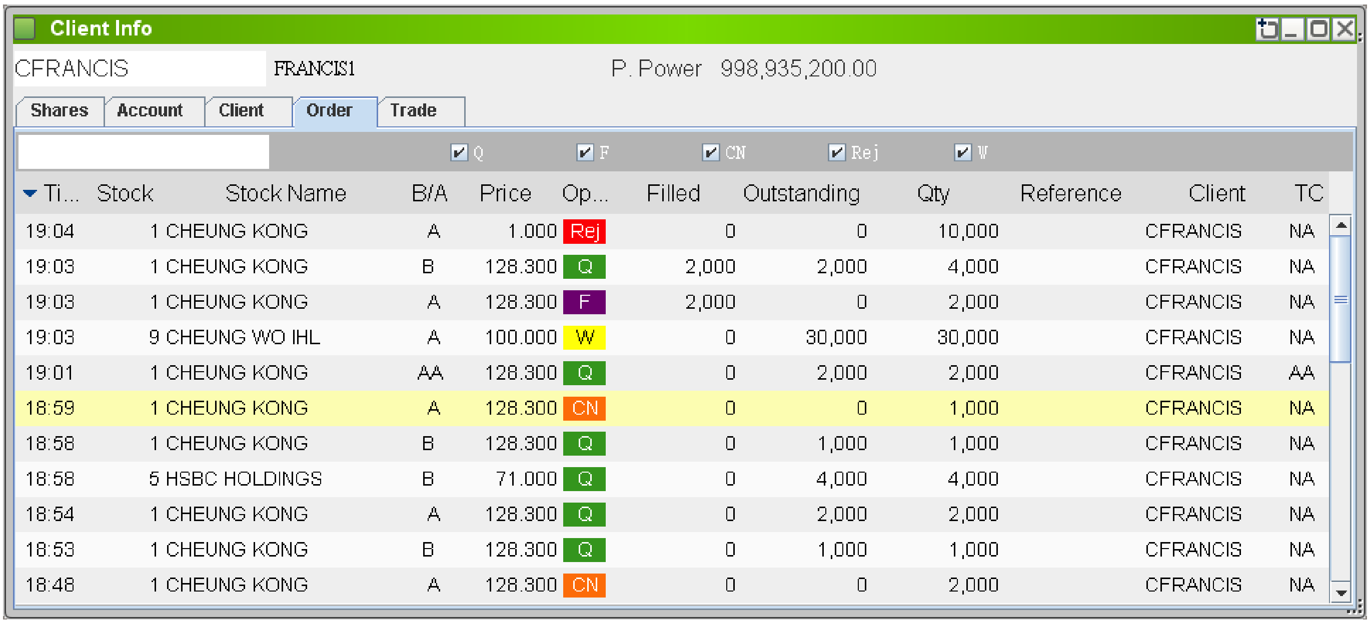
Interface:



## 2.1.6.4 Order

Order Book of the client will be displayed on the information panel if Order tab is clicked. All orders with status equal to outstanding, filled, canceled, rejected and wait are displayed in this panel.

Interface:




**Filtering by order status**

Orders can be filtered by different order status.

**Filtering By text**

Enter any text at the box located at the top of the display panel. Orders will be filtered according to text entered in text box

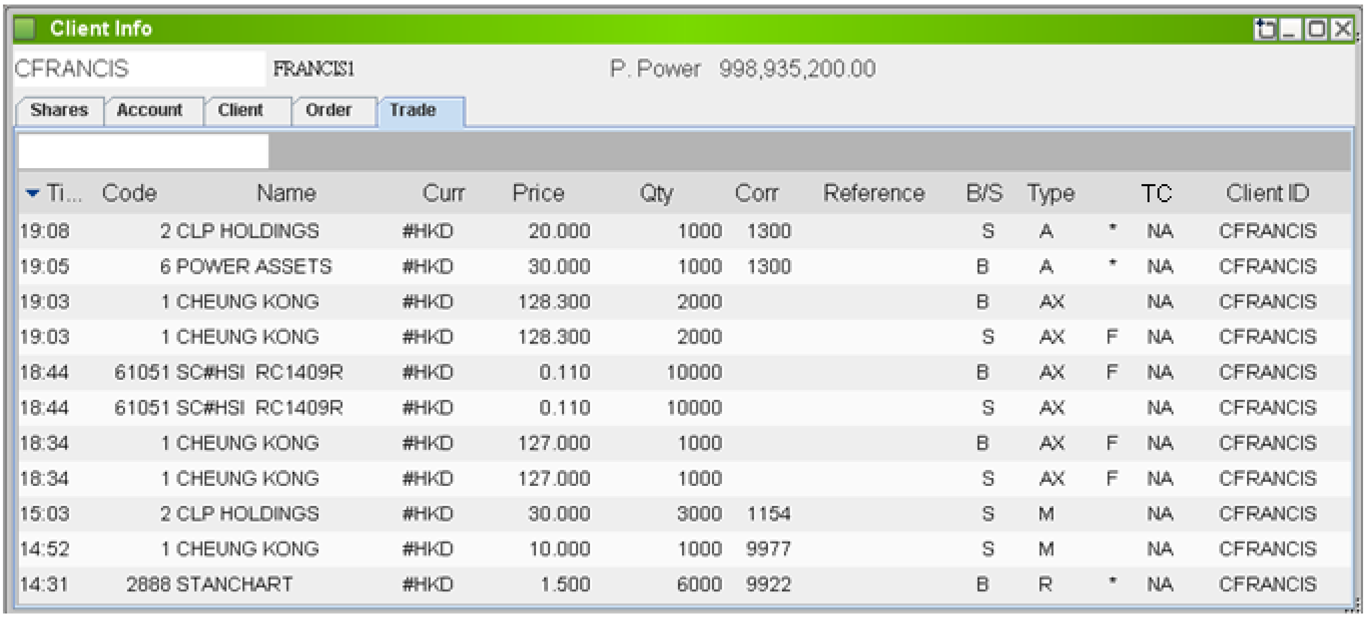
**Sorting**

Press the specific column header to perform transaction sorting according to the column header pressed

### 2.1.6.5 Trade

Client's trade Book will be displayed on the information panel if "Trade" tab is clicked. Trade book of the client account will be provided for trace back the whole day transactions. Sorting and filter of transaction are supported for user to trace back trade records more efficient.

Interface:




| Ti... | Code | Name | Curr | Price | Qty | Corr | Reference | B/S | Type | | TC | Client ID |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19:08 | 2 | CLP HOLDINGS | #HKD | 20.000 | 1000 | 1300 | | S | A | * | NA | CFRANCIS |
| 19:05 | 6 | POWER ASSETS | #HKD | 30.000 | 1000 | 1300 | | B | A | * | NA | CFRANCIS |
| 19:03 | 1 | CHEUNG KONG | #HKD | 128.300 | 2000 | | | B | AX | | NA | CFRANCIS |
| 19:03 | 1 | CHEUNG KONG | #HKD | 128.300 | 2000 | | | S | AX | F | NA | CFRANCIS |
| 18:44 | 61051 | SC#HSI RC1409R | #HKD | 0.110 | 10000 | | | B | AX | F | NA | CFRANCIS |
| 18:44 | 61051 | SC#HSI RC1409R | #HKD | 0.110 | 10000 | | | S | AX | | NA | CFRANCIS |
| 18:34 | 1 | CHEUNG KONG | #HKD | 127.000 | 1000 | | | B | AX | F | NA | CFRANCIS |
| 18:34 | 1 | CHEUNG KONG | #HKD | 127.000 | 1000 | | | S | AX | F | NA | CFRANCIS |
| 15:03 | 2 | CLP HOLDINGS | #HKD | 30.000 | 3000 | 1154 | | S | M | | NA | CFRANCIS |
| 14:52 | 1 | CHEUNG KONG | #HKD | 10.000 | 1000 | 9977 | | S | M | | NA | CFRANCIS |
| 14:31 | 2888 | STANCHART | #HKD | 1.500 | 6000 | 9922 | | B | R | * | NA | CFRANCIS |

**Filtering Transaction by text**

Enter any text at the text box located at the top of the display panel. Transactions will be filtered according to text entered in text box

**Sorting**

Press the specific column header to perform transaction sorting according to the column header pressed