

2.4.17 Doubtful order

This function allows administrator to re-attach client ID to order and trade, recovered from the failover process. The administrator specifies both Client ID for the selected order or trade. After confirming the re-attachment, a successful update message will be displayed.

This function is performed only after the failover process successfully completed, and ETTSS detected the client ID mapped to order or trade is missing. The window shows all orders and manual trades submitted by users. If an order has been modified, only the most recent one is shown in the list. Orders that are either cancelled or rejected by the Exchange will not be shown.

Doubtful Order screen layout, after system recovered

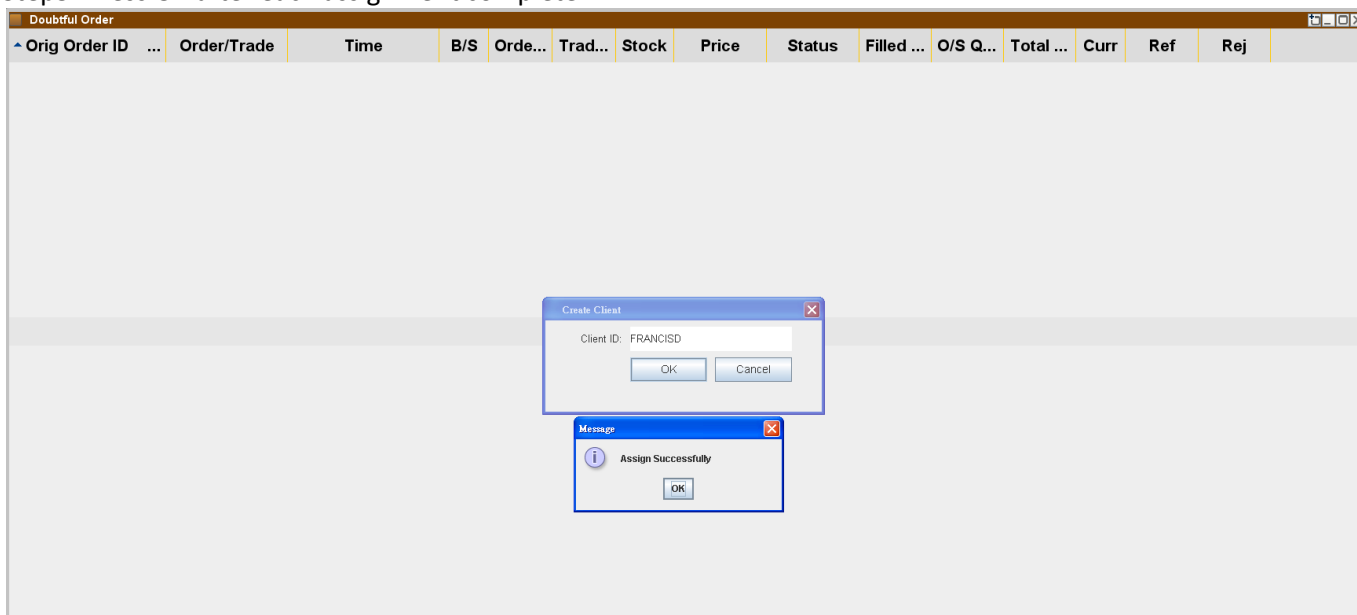
Step1: Click "Assign" button

Orig Order ID	Order/Trade	Time	B/S	Orde...	Trad...	Stock	Price	Status	Filled Qty	O/S QTY	Total Q...	Curr	Ref	Rej	
2014080601000016	Order	2014-08-06 12:57:56	B	L		00001	10.0	Q	0	1000	1000	HKD	FRAN		Assign
2014080601000017	Order	2014-08-06 12:57:56	B	L		00002	10.0	Q	0	1000	1000	HKD			Assign
2014080601000018	Order	2014-08-06 12:57:57	A	L		00001	80.0	Q	0	1000	1000	HKD			Assign
2014080601000019	Order	2014-08-06 12:57:56	A	L		00388	1.0	Rej	0	0	2000	HKD			Assign
2014080601000020	Order	2014-08-06 12:57:56	A	L		00700	1.0	Q	0	2000	2000	HKD			Assign
2014080601000021	Order	2014-08-06 12:05:59	B	I		00008	10.0	Q	0	10000	10000	HKD	REFE...		Assign
2014080601000022	Manual Trade	2014-08-06 12:40:35	A		M	00001	10.0	Acpt_M	10000	0	10000	HKD			Assign
2014080601000023	Order	2014-08-06 12:57:56	A	L		00001	20.0	F	1000	0	1000	HKD	ADHI		Assign
2014080601000024	Manual Trade	2014-08-06 12:40:33	A		R	00001	10.0	Acpt_M	1000	0	1000	HKD	DIRECT		Assign
2014080601000025	Order	2014-08-06 12:57:56	B	L		00001	20.0	Q	1000	9000	10000	HKD			Assign
2014080601000026	Manual Trade	2014-08-06 12:07:26	B		V	00002	1.0	Acpt_M	10000	0	10000	HKD			Assign
2014080601000027	Order	2014-08-06 12:57:56	B	L		00002	10.0	Q	0	1000	1000	HKD			Assign
2014080601000028	Order	2014-08-06 12:57:56	B	L		00034	10.0	Q	0	10000	10000	HKD			Assign
2014080601000029	Order	2014-08-06 12:57:56	B	L		00002	10.0	Q	0	10000	10000	HKD	TESTI...		Assign
2014080601000030	Order	2014-08-06 12:57:56	A	L		00044	1.0	Q	0	10000	10000	HKD			Assign
2014080601000031	Manual Trade	2014-08-06 12:08:00	A		M	00002	10.0	Acpt_M	1000	0	1000	HKD			Assign
2014080601000032	Manual Trade	2014-08-06 12:40:32	A		M	00231	10.0	Acpt_M	100000	0	100000	HKD			Assign

Step2: Input Client ID and press OK

Orig Order ID	Order/Trade	Time	B/S	Orde...	Trad...	Stock	Price	Status	Filled ...	O/S Q...	Total ...	Curr	Ref	Rej	
2014080601000016	Order	2014-08-06 12:57:56	B	L		00001	10.0	Q	0	1000	1000	HKD	FRAN		Assign
2014080601000017	Order	2014-08-06 12:57:56	B	L		00002	10.0	Q	0	1000	1000	HKD			Assign
2014080601000018	Order	2014-08-06 12:57:57	A	L		00001	80.0	Q	0	1000	1000	HKD			Assign
2014080601000019	Order	2014-08-06 12:57:56	A	L		00388	1.0	Rej	0	0	2000	HKD			Assign
2014080601000020	Order	2014-08-06 12:57:56	A	L		00700	1.0	Q	0	2000	2000	HKD			Assign
2014080601000021	Order	2014-08-06 12:05:59	B	I		00008	10.0	Q	0	10000	10000	HKD	REFER...		Assign
2014080601000022	Manual Trade	2014-08-06 12:40:35	A		M	00001	10.0	Acpt_M	10000	0	10000	HKD			Assign
2014080601000023	Order	2014-08-06 12:57:56	A	L		00001	20.0	F	1000	0	1000	HKD	ADHI		Assign
2014080601000024	Manual Trade	2014-08-06 12:40:33	A		M	00001	10.0	Acpt_M	1000	0	1000	HKD	DIRECT		Assign
2014080601000025	Order	2014-08-06 12:57:56	B	L		00001	20.0	Q	1000	9000	10000	HKD			Assign
2014080601000026	Manual Trade	2014-08-06 12:07:26	B		M	00002	1.0	Acpt_M	10000	0	10000	HKD			Assign
2014080601000027	Order	2014-08-06 12:57:56	B	L		00002	10.0	Q	0	1000	1000	HKD			Assign
2014080601000028	Order	2014-08-06 12:57:56	B	L		00034	10.0	Q	0	10000	10000	HKD			Assign
2014080601000029	Order	2014-08-06 12:57:56	B	L		00002	10.0	Q	0	10000	10000	HKD	TESTING		Assign
2014080601000030	Order	2014-08-06 12:57:56	A	L		00044	1.0	Q	0	10000	10000	HKD			Assign
2014080601000031	Manual Trade	2014-08-06 12:08:00	A		M	00002	10.0	Acpt_M	1000	0	1000	HKD			Assign
2014080601000032	Manual Trade	2014-08-06 12:40:32	A		M	00231	10.0	Acpt_M	100000	0	100000	HKD			Assign

Step3: Press OK after each assignment complete



All orders/trades had below to this client will be assigned to the Client Account immediately. User can see the orders and trades in order book and trade book after ETTSS fully recover from failover process.

Client Info											
FRANCISD		FRANCISD		P. Power 600,000.00							
Shares	Account	Client	Order	Trade							
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Time	Stock...	Stock Name	B/A	Price	Op...	Filled	Outstanding	Qty	Reference	Client	TC
12:57*	1	CHEUNG KONG	A	80.000	Q	0	1,000	1,000		FRANCISD	NA
12:57	388	HKEX	A	1.000	Rej	0	0	2,000		FRANCISD	NA
12:57*	700	TENCENT	A	1.000	Q	0	2,000	2,000		FRANCISD	NA
12:57*	1	CHEUNG KONG	A	20.000	F	1,000	0	1,000	ADHI	FRANCISD	NA
12:57*	44	HAECO	A	1.000	Q	0	10,000	10,000		FRANCISD	NA
12:57*	2	CLP HOLDINGS	B	10.000	Q	0	10,000	10,000	TESTING	FRANCISD	CA
12:57*	34	KOWLOON DEV	B	10.000	Q	0	10,000	10,000		FRANCISD	NA
12:57*	2	CLP HOLDINGS	B	10.000	Q	0	1,000	1,000		FRANCISD	NA
12:57*	1	CHEUNG KONG	B	20.000	Q	1,000	9,000	10,000		FRANCISD	NA
12:57*	2	CLP HOLDINGS	B	10.000	Q	0	1,000	1,000		FRANCISD	NA
12:57*	1	CHEUNG KONG	B	10.000	Q	0	1,000	1,000	FRAN	FRANCISD	NA
12:05*	8	PCCW	B	10.000	Q	0	10,000	10,000	REFERENCE	FRANCISD	NP

Field Description of Doubtful Order

Columns	In/Out	Description
Orig Order ID	Output	Order ID for system reference only
Order/Trade	Output	Order, Manual Trade & Odd lot/Sp lot (Will be included in phase II)
Time	Output	The Time of order/manual Trade processed by Exchange
B/S	Output	B(id) or S(ell)
Order Type	Output	Order Type L = Limit Order E = Enhanced Limit Order S = Special Limit Order A = At Auction I = At Auction Limit Order
Trade Type	Output	Private Trade Type (Manual Trade only) M = Manual (Price within normal range) S = Manual (Price outside normal range) Q = Special Lot P = Odd Lot R = Pre-open
Stock	Output	Security Code and Stock English Short Name
Price	Output	Order/ Trade Price
Status	Output	Order Status (Orders only)
Filled QTY	Output	Filled Order Quantity (Orders only)
O/S QTY	Output	Outstanding Order Quantity (Orders only)
Total QTY	Output	Total order Quantity (Orders only)
Curr	Output	Currency Code
Ref	Output	Broker Reference, 10 digits of characters for user to insert
Rej	Output	Reject Indicator for trade that had rejected or cancelled (Trade only)
Assign	Button	Assign button for Administrator to map the order/trade to client account