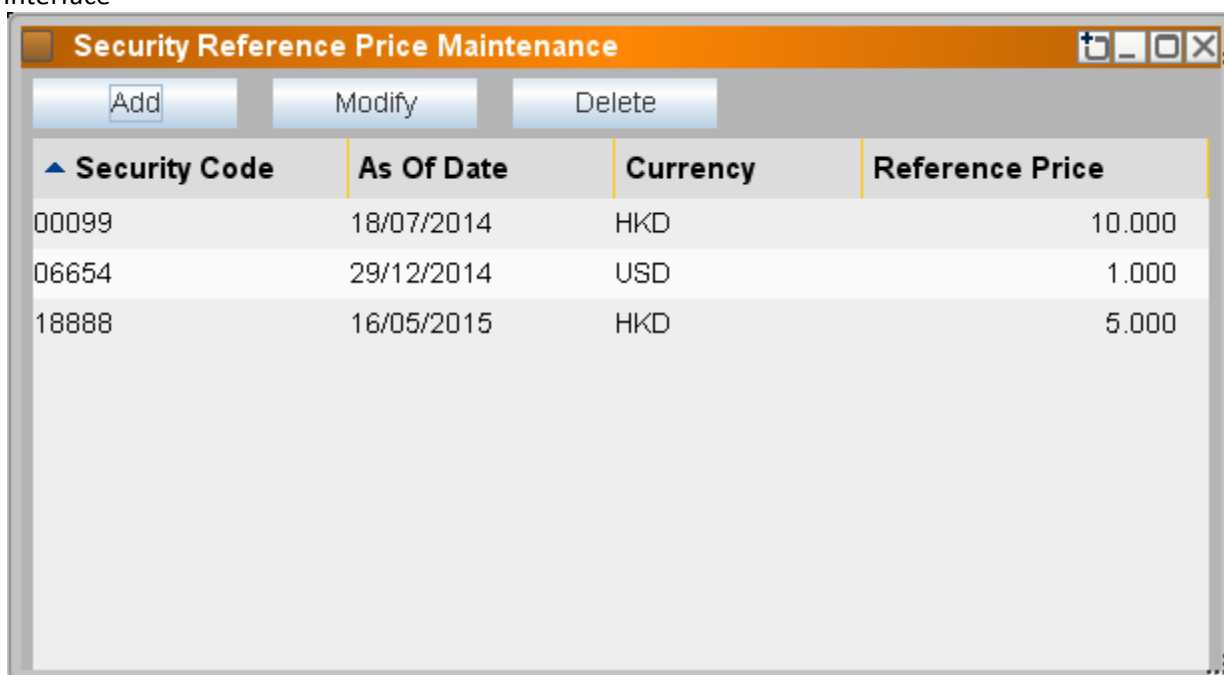


2.4.19 Security Reference Price Maintenance

Security Reference Price Maintenance is a function provided for Exchange Participates which requires credit approval on securities which has no nominal price, eg. IPOs or stocks that reopen to market after long suspensions. Administrators have to add security code, date, currency and reference price to the system. The reference will be effective immediate. The reference price will be effective on the dedicated date only. User has to add multiple dates and prices to system if they want to apply the reference on a period.

Security reference will **ONLY** be used for purchasing power calculation when both nominal price and previous close are missing. Thus the short amount in CC approval will also base on reference price.

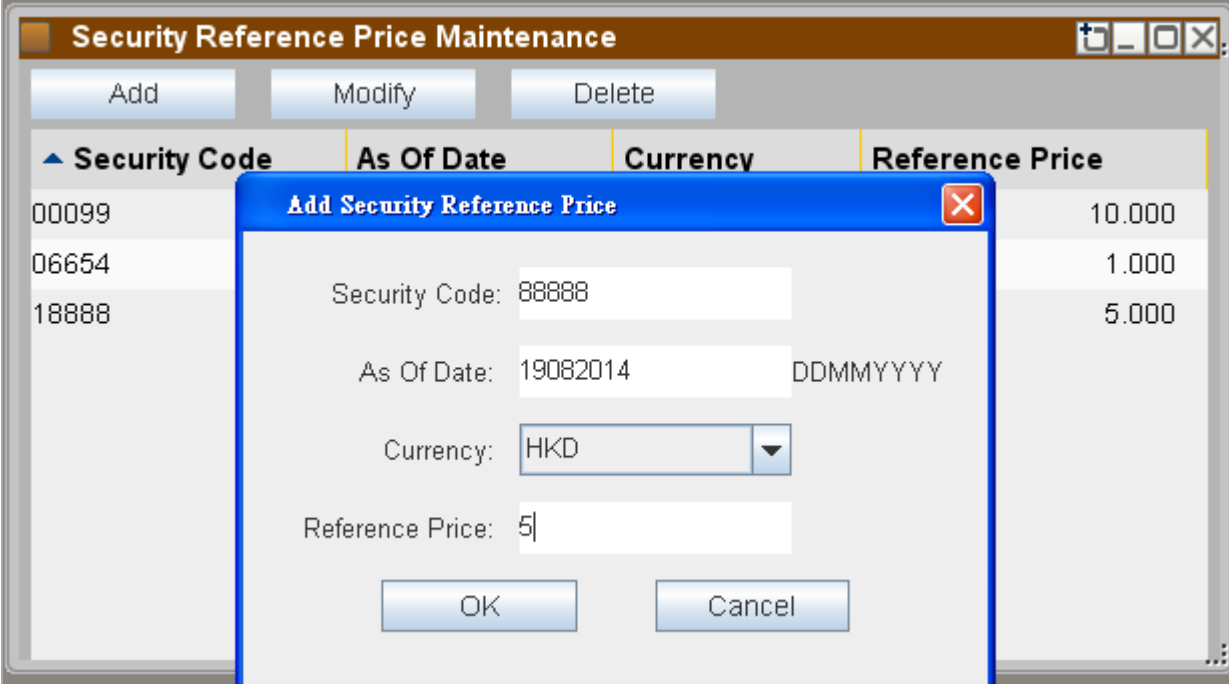
Interface



| Security Code | As Of Date | Currency | Reference Price |
|---------------|------------|----------|-----------------|
| 00099 | 18/07/2014 | HKD | 10.000 |
| 06654 | 29/12/2014 | USD | 1.000 |
| 18888 | 16/05/2015 | HKD | 5.000 |

2.4.19.1 Add Security Reference Price

Step1: Click "Add" button, a form is prompted out for administrator to fill in the reference price detail.



| Security Code | As Of Date | Currency | Reference Price |
|---------------|------------|----------|-----------------|
| 00099 | | | 10.000 |
| 06654 | | | 1.000 |
| 18888 | | | 5.000 |

Add Security Reference Price

Security Code: 88888

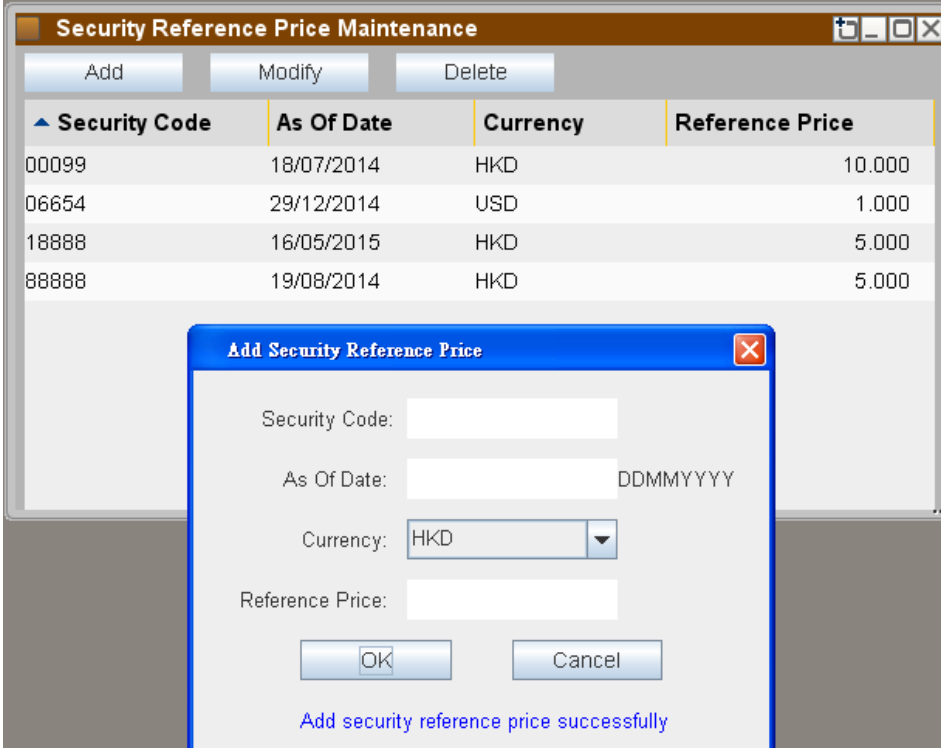
As Of Date: 19082014 DDMMYYYY

Currency: HKD

Reference Price: 5

OK Cancel

Step2: Click "OK" button, the new reference price will be added to the list. It will be effective immediately.



| Security Code | As Of Date | Currency | Reference Price |
|---------------|------------|----------|-----------------|
| 00099 | 18/07/2014 | HKD | 10.000 |
| 06654 | 29/12/2014 | USD | 1.000 |
| 18888 | 16/05/2015 | HKD | 5.000 |
| 88888 | 19/08/2014 | HKD | 5.000 |

Add Security Reference Price

Security Code:

As Of Date: DDMMYYYY

Currency: HKD

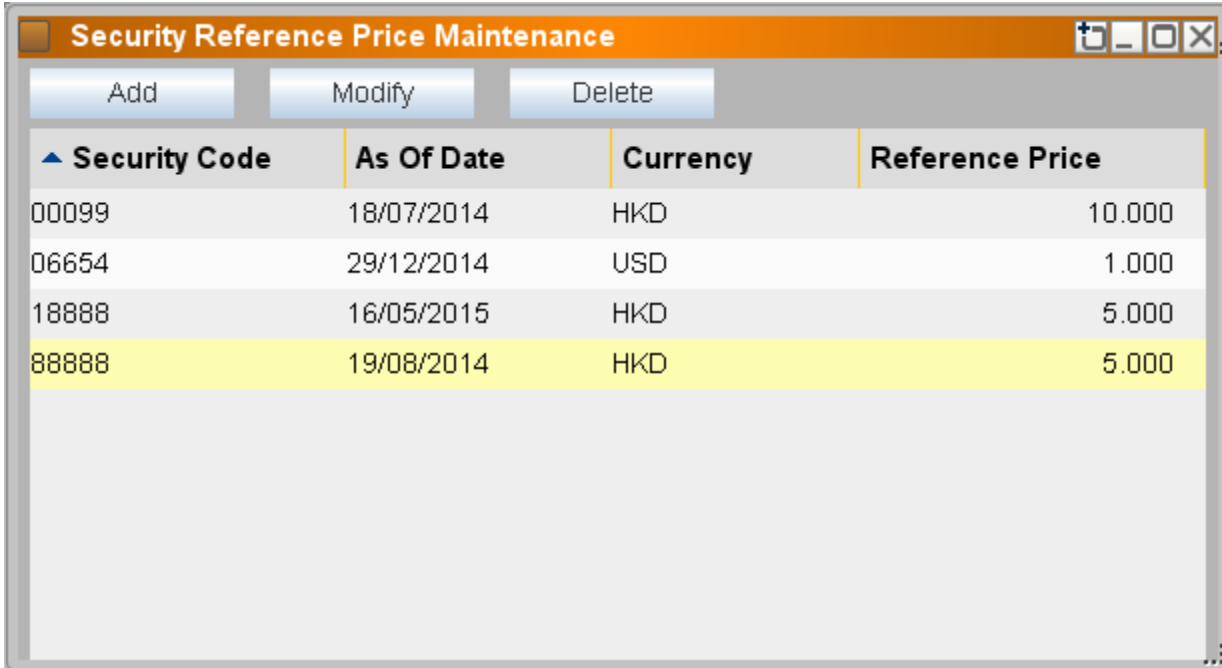
Reference Price:

OK Cancel

Add security reference price successfully

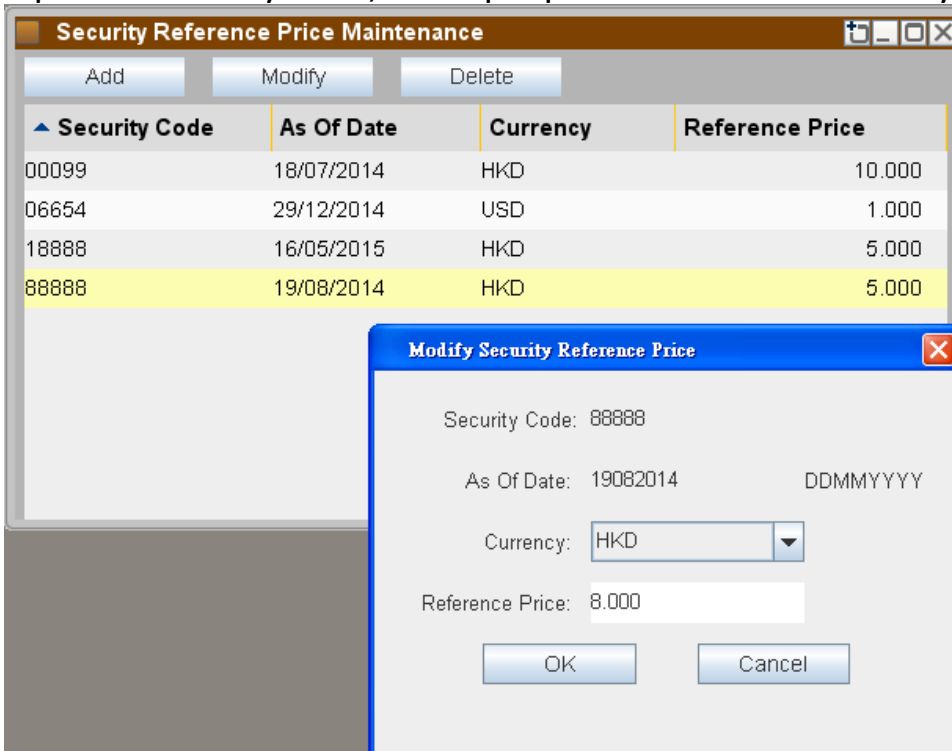
2.4.19.2 Modify Security Reference Price

Step1: Select an outstanding record from the list.



| Security Code | As Of Date | Currency | Reference Price |
|---------------|------------|----------|-----------------|
| 00099 | 18/07/2014 | HKD | 10.000 |
| 06654 | 29/12/2014 | USD | 1.000 |
| 18888 | 16/05/2015 | HKD | 5.000 |
| 88888 | 19/08/2014 | HKD | 5.000 |

Step2: Click "Modify" button, a form is prompted out for administrator to modify the existing record.



| Security Code | As Of Date | Currency | Reference Price |
|---------------|------------|----------|-----------------|
| 00099 | 18/07/2014 | HKD | 10.000 |
| 06654 | 29/12/2014 | USD | 1.000 |
| 18888 | 16/05/2015 | HKD | 5.000 |
| 88888 | 19/08/2014 | HKD | 5.000 |

Modify Security Reference Price

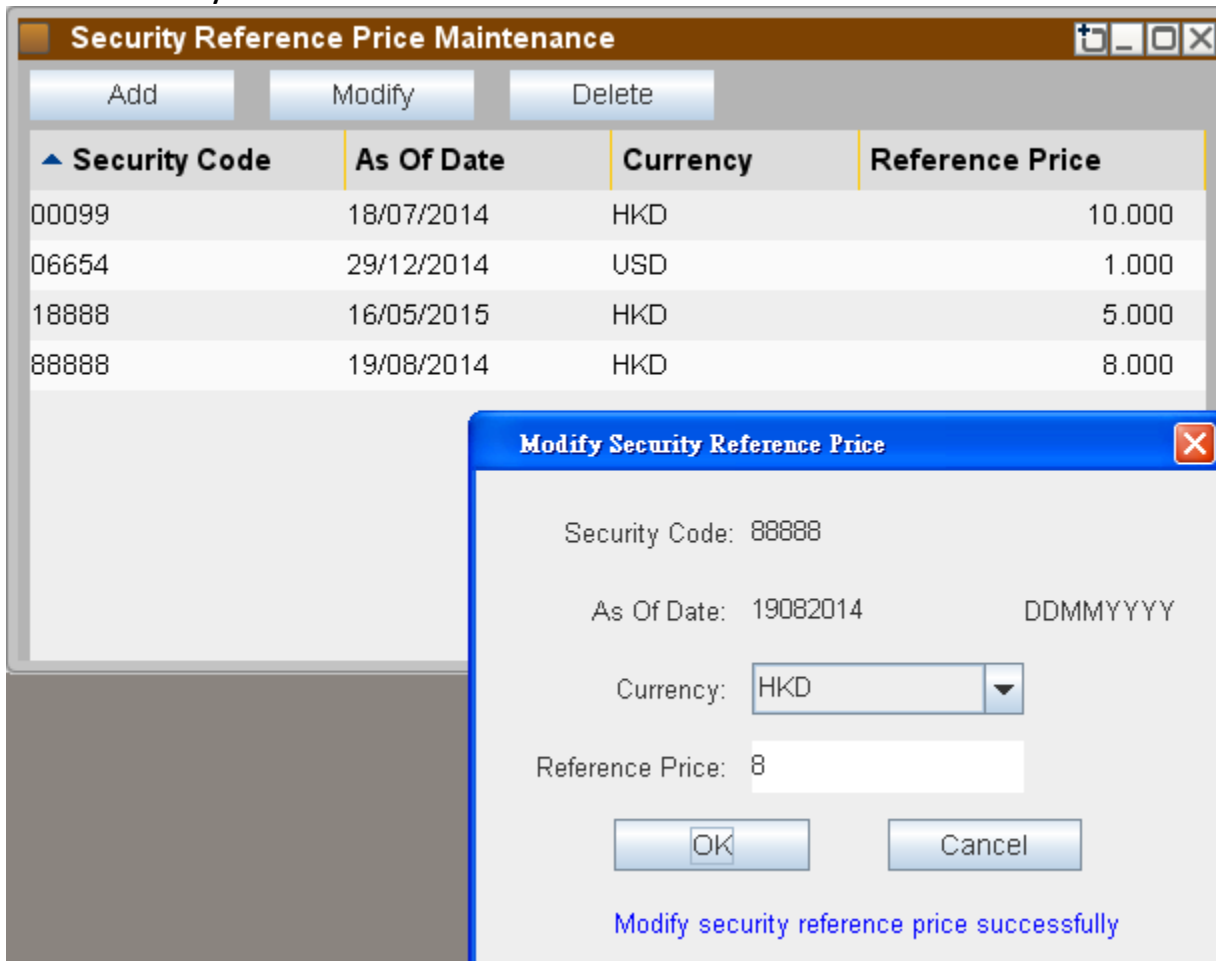
Security Code: 88888

As Of Date: 19082014 DDMMYYYY

Currency:

Reference Price:

Step3: Click "OK" to complete the modification process. A message will be shown in the form, and new value will update the list immediately.



The screenshot displays the 'Security Reference Price Maintenance' window. It features a table with four columns: Security Code, As Of Date, Currency, and Reference Price. The table contains four rows of data. Overlaid on this window is a 'Modify Security Reference Price' dialog box. The dialog box contains the following fields and values:

- Security Code: 88888
- As Of Date: 19082014 (format: DDMMYYYY)
- Currency: HKD (selected from a dropdown menu)
- Reference Price: 8

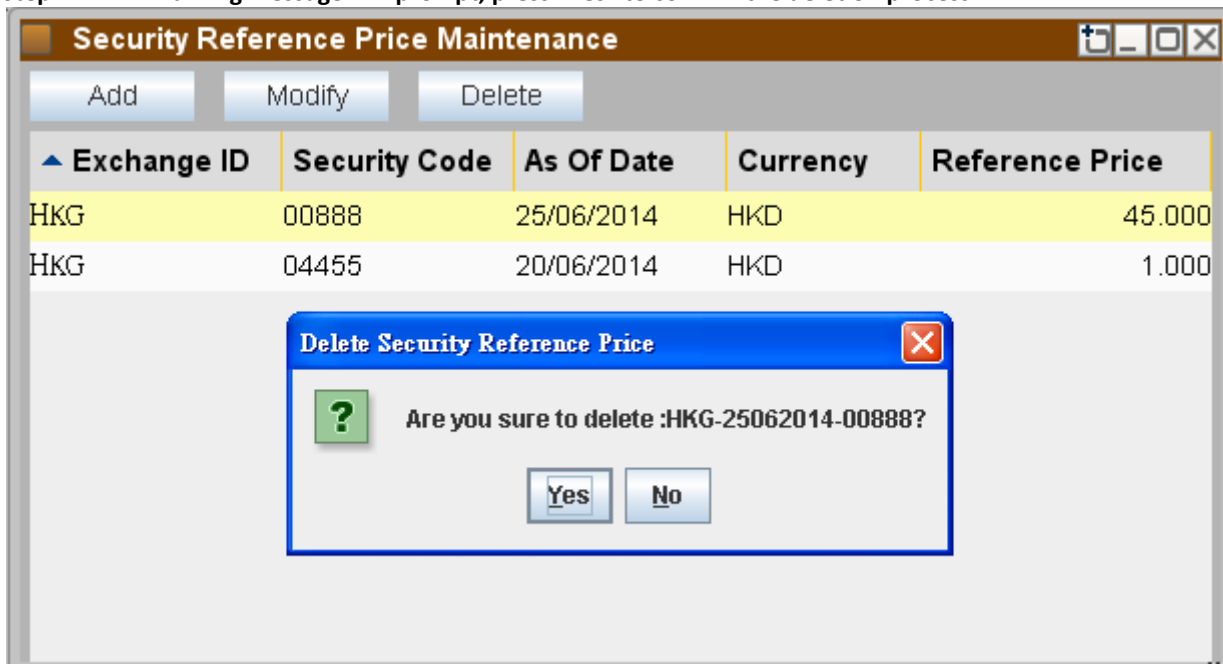
The dialog box also includes 'OK' and 'Cancel' buttons, and a confirmation message at the bottom: 'Modify security reference price successfully'.

| Security Code | As Of Date | Currency | Reference Price |
|---------------|------------|----------|-----------------|
| 00099 | 18/07/2014 | HKD | 10.000 |
| 06654 | 29/12/2014 | USD | 1.000 |
| 18888 | 16/05/2015 | HKD | 5.000 |
| 88888 | 19/08/2014 | HKD | 8.000 |

2.4.19.3 Delete Security Reference Price

Step1: Select a reference price record, and then press “Delete” button.

Step2: A warning message will prompt, press “Yes” to confirm the deletion process



Step3: Reference price will be removed after Administrator click “OK” to complete the deletion process

