3.10 Purchase Review Enquiry

This multi-screen, real-time enquiry allows users to view non-direct Manual, non-direct Special Lot trades, non-direct Odd Lot trades, direct Manual, direct Special Lot trades and direct Odd Lot trades that are alleged against them during the current trading session. (Auto-matched, Semi-Automatic Odd Lot / Special Lot and Overseas are not displayed). User can restrict the enquiry by specifying a start time and the details of trades are displayed.

Supervisor can view all trades. Trader is only presented with the trades assigned to him/her by his/her supervisor. Purchases are displayed in ascending chronological order (i.e., oldest first), with one purchase per line. The default time is "00.00". If the start time specified is later than the current time, it is taken to be last trade day. This function can be the first step for "Acknowledge Purchase" and "Reject Purchase" functions.

For Exchange's customized-keyboard:

Step1:   Press REV ENQ
Step2:   Press BUY TRAN
Step3:   Input Filter Value
Step4:   Press ENTER

For 101-keyboard:

Step1:   Press F10
Step2:   Press F8
Step3:   Input Filter Value
Step4:   Press ENTER

Exchange's customized-keyboard
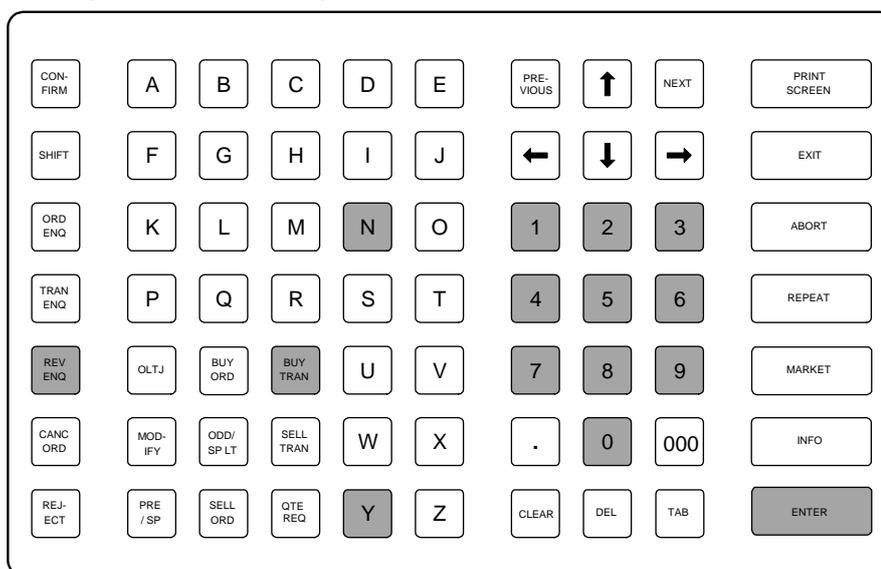
Fields for user reference

Field Name	Type (Length)	IN/OUT	Optional / Mandatory	Remarks
TIME	TIME (HH.MM)	IN	Mandatory	Time filter with 24 Hours format Purchase sent from other brokers on or after the input time are shown, the purchase are hidden if they are before the input time Default time value (00:00) displays all purchase received in current day.
INCLUDE DIRECT?	Y/N	IN	Mandatory	Indirect order indicator Y =include direct sales that are completed under the same broker N = default setting, direct sales will not be shown

Remarks:

Users can perform "Acknowledge Purchase" or "Reject Purchase" through Purchase Review Enquiry page. Please refer to related function for further details.

Step1 & Step2

Step3 & Step4 (With TIME filter on)

Step3 & Step4 (With INCLUDE DIRECT? = Y)

Time	MKT		Stock	Price	QTY	Corr	Type	TC	Rej	Client	User
20:10	MAIN	1	CHEUNG KONG	130.000	20,000,000	2222	R	NA		CFRANCIS	FRANCIS
18:18	MAIN	1	CHEUNG KONG	130.000	20,000,000	2222	R	N			
18:18	MAIN	1	CHEUNG KONG	130.000	20,000,000	2222	R	N			
16:35	MAIN	8	PCCW	4.000	1,000	1233	R	N			
16:35	MAIN	8	PCCW	4.000	1,000	1233	R	N			
16:35	MAIN	8	PCCW	4.000	1,000	1233	R	N			
15:28	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
15:28	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
12:35	GEM	38	SEC 38	3.000	10,000	9955	MX	N			
12:34	MAIN	2	CLP HOLDINGS	60.400	2,000	9955	MX	N			
11:49	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
11:49	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
11:49	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
11:49	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
11:49	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
11:05	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
11:03	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		

REV ENQ   BUY TRAN   STOCK   PRICE   QTY
BROKER   TIME   00:00   INCLUDE DIRECT?   Y   CLIENT
Purchase Review Enquiry

20:10 B R 1 HKD 130.000 20,000,000 2222
HSI   18652.78 +132.25   HKL   28801.80 +346.33   GEM   787.55   +2.94   T/O   889.217M

[22:10]

Features of ETTSS Purchase Review Enquiry

Time	MKT		Stock	Price	QTY	Corr	Type	TC	Rej	Client	User
20:10	MAIN	1	CHEUNG KONG	130.000	20,000,000	2222	R	NA		CFRANCIS	FRANCIS
18:18	MAIN	1	CHEUNG KONG	130.000	20,000,000	2222	R	N			
18:18	MAIN	1	CHEUNG KONG	130.000	20,000,000	2222	R	N			
16:35	MAIN	8	PCCW	4.000	1,000	1233	R	N			
16:35	MAIN	8	PCCW	4.000	1,000	1233	R	N			
16:35	MAIN	8	PCCW	4.000	1,000	1233	R	N			
15:28	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		
15:28	MAIN	8	PCCW	4.000	1,000	1233	R	N	*		

REV ENQ   BUY TRAN   STOCK   PRICE   QTY
BROKER   TIME   12:00   INCLUDE DIRECT?   N   CLIENT
Purchase Review Enquiry

01 02 03 04 05

20:10 B R 1 HKD 130.000 20,000,000 2222
HSI   18652.78 +132.25   HKL   28801.80 +346.33   GEM   787.55   +2.94   T/O   889.217M

[22:10]

Remarks

ID	Field Name	Remarks
01	TYPE	Trade Type 1st Char = Private Trade Type M = Manual (Price within normal range) S = Manual (Price outside normal range) Q = Special Lot P = Odd Lot R = Pre-open 2nd Char = Direct Business Trade X = direct business trade Blank = non-direct trade 3rd character = settlement type B = Buy-in I = Isolated Blank = others (for direct sales only)
02	TC	Trade Class 1st character = Shortsell Indicator 2nd character = Origin Indicator
03	Rej	Reject Indicator Purchase with "*" (asterisk) had been rejected by users
04	Client	Client Assigned Show client account when the purchase had been assigned
05	User	User Assigned Show user account when the purchase had been assigned