

3.10 Purchase Review Enquiry

This multi-screen, real-time enquiry allows users to view non-direct Manual, non-direct Special Lot trades, non-direct Odd Lot trades, direct Manual, direct Special Lot trades and direct Odd Lot trades that are alleged against them during the current trading session. (Auto-matched, Semi-Automatic Odd Lot / Special Lot and Overseas are not displayed). User can restrict the enquiry by specifying a start time and the details of trades are displayed.

Supervisor can view all trades. Trader is only presented with the trades assigned to him/her by his/her supervisor. Purchases are displayed in ascending chronological order (i.e., oldest first), with one purchase per line. The default time is "00.00". If the start time specified is later than the current time, it is taken to be last trade day. This function can be the first step for "Acknowledge Purchase" and "Reject Purchase" functions.

Exchange's customized-keyboard

For Exchange's

customized-keyboard: Step1: Press REV ENQ

Step2:Press BUY TRANStep3:Input Filter ValueStep4:Press ENTER

For 101-keyboard:

Step1: Press F10

- Step2: Press F8
- Step3: Input Filter Value
- Step4: Press ENTER

CON- FIRM	AB		PRE- VIOUS 1 NEXT	PRINT SCREEN
SHIFT	F G	H I J	$\leftarrow \blacksquare \blacksquare \rightarrow$	EXIT
ORD ENQ	KL	M N O	1 2 3	ABORT
TRAN ENQ	P Q	RST	4 5 6	REPEAT
REV ENQ	OLTJ BUY ORD	BUY TRAN U V	7 8 9	MARKET
CANC ORD	MOD- IFY SP LT	SELL TRAN W X	. 0 000	INFO
REJ- ECT	PRE / SP SELL ORD	QTE REQ Y Z	CLEAR DEL TAB	ENTER

Fields for user reference

Field Name	Type (Length)	IN/OUT	Optional /	Remarks
			Mandatory	
TIME	TIME	IN	Mandatory	Time filter with 24 Hours format
	(HH.MM)		-	
				Purchase sent from other brokers on or after the
				Furchase sent from other brokers on or after the
				input time are snown, the purchase are hidden if
				they are before the input time
				Default time value (00:00) displays all purchase
				received in current day.
	V/N	IN	Mandatory	Indiract order indicator
	1/11		wanuatory	
DIRECT				
				Y =include direct sales that are completed under
				the same broker
				N = default setting, direct sales will not be shown



Remarks:

Users can perform "Acknowledge Purchase" or "Reject Purchase" through Purchase Review Enquiry page. Please refer to related function for further details.

Step1 & Step2

M\	VS Parody	[User: FR	ANCIS, V	ersion: 1.02.	r35131]						D_OX
REV	ENQ BU	JY TRAN	STOCK BROKE	R TIN	RICE VIE (0:00	QTY INCLUDE	E DIRECT?	Ν	CLIEI	NT
HSI			HKL			3 GEM			T/O	889.217M	
[22:08	3]										Speed station;

Step3 & Step4 (With TIME filter on)

MWS F	Parody	[Usei	r: FRAN	CIS, Ve	rsion: 1.02	.r3513	1]									to_ox
REV EN	Q BU	Y TRA	AN ST		ן ד (PRICE ME	E 12:00					т2	N			
			F	Purchas	e Review	Enquir	ν		11400	-000						
Time M	КT		Stock				Price		QTY	Corr	Туре	TC	Rej	Client		User
20:10 M	IAIN		CHEUN	IG KON	G	13	30.000	20,000	,000,	2222		NA		CFRANCIS		FRANCIS
18:18 M	IAIN		CHEUN	IG KON	G	13	30.000	20,000	,000,	2222	R	N				
18:18 M	IAIN		CHEUN	IG KON	G	13	80.000	20,000	,000,	2222						
16:35 M	AIN		PCCW				4.000		,000,	1233	R	N				
16:35 M	IAIN		PCCW				4.000		,000,	1233						
16:35 M	AIN		PCCW				4.000		,000,	1233	R	N				
15:28 M	IAIN		PCCW				4.000		,000,	1233						
15:28 M	AIN		PCCW				4.000		,000,	1233	R	N				
20-10 P.E		<u>م 1 ا د</u>	000.20	000.00	0 2222											
	K THKI 850-79	J 130	2.25	000,00 HIZI	0 2222 28801.90		6.22						ТИ	990.24	75.4	
								GEIM						009.21		
																ET Trade
100.001																speed
[22.00]																Stadon ;



Step3 & Step4 (With INCLUDE DIRECT? = Y)

MW	S Paro	dy [Use	r: FRAN	ICIS, Versio	on: 1.02.r3513									ti_ OX
REV 8	ENQ	BUY TRA	AN S	STOCK	PRICE	Ξ	Q	TY						
			E	ROKER	TIME	00:00	IN	CLUDE	DIREC	DT?	Y		CLIENT	
				Purchase R	Review Enqui	'Y								
Time	MKT		Stock			Price	QT	Y Corr	Туре	e TC	Rej	Client	ι	Jser
20:10	MAIN		CHEU	NG KONG	1:	30.000	20,000,00	0 2222	R	NA		CFRANCIS	F	FRANCIS
18:18	MAIN		CHEUI	NG KONG	1:	30.000	20,000,00	0 2222	R	N				
18:18	MAIN		CHEU	NG KONG	1:	30.000	20,000,00	0 2222	R					
16:35	MAIN		PCCW			4.000	1,00	0 1233	R	N				
16:35	MAIN		PCCW			4.000	1,00	0 1233	R					
16:35	MAIN		PCCW	/		4.000	1,00	0 1233	R	N				
15:28	MAIN		PCCW			4.000	1,00	0 1233	R					
15:28	MAIN		PCCW	1		4.000	1,00	0 1233	R	N				
12:35	GEM		SEC 3	8		3.000	10,00	0 9955	MX					
12:34	MAIN	2	CLP H	OLDINGS	l	60.400	2,00	0 9955	MX	N				
11:49	MAIN		PCCW			4.000	1,00	0 1233	R					
11:49	MAIN		PCCW	1		4.000	1,00	0 1233	R	N				
11:49	MAIN		PCCW			4.000	1,00	0 1233	R					
11:49	MAIN		PCCW	1		4.000	1,00	0 1233	R	N				
11:49	MAIN		PCCW			4.000	1,00	0 1233	R					
11:05	MAIN		PCCW	1		4.000	1,00	0 1233	R	N				
11:03	MAIN		PCCW			4.000	1,00	0 1233	R					
20:101	BR 1	HKD 130	.000 20	000,000	2222									
HSI				HKL 288			GEM 7				T/0	D 889.21	7M	
[22:10]														speed station :

Features of ETTSS Purchase Review Enquiry

MWS Parc	dy [User: FRANCIS, Version:	: 1.02.r35131]							t)_	OX
REV ENQ	BUY TRAN STOCK	PRICE	QTN	(
	BROKER	TIME 12:00	INCL	UDE	DIRE	7?			JENT	
	Purchase Rev	view Enquiry			U		02	03 04	05	
Time MKT	Stock	Price	QTY	Corr	Туре	TC	Rej	Client	User	
20:10 MAIN	1 CHEUNG KONG	130.000	20,000,000	2222	R	NA		CFRANCIS	FRANCIS	
18:18 MAIN	1 CHEUNG KONG	130.000	20,000,000	2222	R	N				
18:18 MAIN	1 CHEUNG KONG	130.000	20,000,000	2222	R	N				
16:35 MAIN	8 PCCW	4.000	1,000	1233	R	N				
16:35 MAIN	8 PCCW	4.000	1,000	1233	R	N				
16:35 MAIN	8 PCCW	4.000	1,000	1233	R	N				
15:28 MAIN	8 PCCW	4.000	1,000	1233	R	N	*			
15:28 MAIN	8 PCCW	4.000	1,000	1233	R	N	*			
20:10 B R 1	HKD 130.000 20,000,000 22	222								
HSI 18652	.78 +132.25 HKL 2880		GEM 787			94	T/C	889.217M		
										Trade
[22:10]										eed stion
-										



Remarks

ID	Field Name	Remarks
01	ТҮРЕ	Trade Type
		st .
		1 th Char = Private Trade Type
		M = Manual (Price within normal range)
		S = Manual (Price outside normal range)
		Q = Special Lot
		P = Odd Lot
		R = Pre-open
		2 nd Char = Direct Business Trade
		X = direct business trade
		Blank = non-direct trade
		3 rd character = settlement type
		B = Buy-in
		I = Isolated
		Blank = others (for direct sales only)
02	TC	Trade Class
		1 st character = Shortsell Indicator
		2 nd character = Origin Indicator
03	Rei	Reject Indicator
	- J	Purchase with "*" (asterisk) had been rejected by users
04	Client	
04	Client	Client Assigned
		show client account when the purchase had been assigned
05	User	User Assigned
		Show user account when the purchase had been assigned