

ENTER

4.21 Input Pre-Opening Sale

This function allows a selling trader to input details of on-market or off-market pre-opening sales one at a time. Trader must enter the security code, price, quantity and trade classification. Optionally, he can specify corresponding broker number, direct indicator, settlement type, reference and client account. If all is correct, a sale accepted message is returned. The sale does not have board lot checking, and it does not have maximum lot size limitation.

Exchange's customized-keyboard

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REJ-ECT

PRE / SP

SELL ORD

QTE REQ

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For Exchange's customized-keyboard:

| Step1: | Press PRE/SP |
|--------|-----------------|
| Step2: | Press SELL TRAN |
| Step3: | Input Data |
| Step4: | Press ENTER |
| Step5: | Press CONFIRM |
| | |

For 101-keyboard:

| Step1: | Press F9 |
|--------|--------------|
| Step2: | Press F7 |
| Step3: | Input Data |
| Step4: | Press ENTER |
| Step5: | Press ALT+F3 |
| | |

| CON- FIRM | A | ВС | D | E | PRE- VIOUS | 1 | NEXT | PRINT SCREEN |
|--------------|---|--------------------------|---|---|---------------|---|------|-----------------|
| SHIFT | F | GН | | J | - | | | EXIT |
| | | | | | | • | | |
| ORD ENQ | К | LM | Ν | Ο | 1 | 2 | 3 | ABORT |
| TRAN | | | | | | | | |
| ENQ | Р | QR | S | Т | 4 | 5 | 6 | REPEAT |
| REV ENQ | | BUY DRD BUY TRAN | U | V | 7 | 8 | 9 | MARKET |
| | | | | | | | | |
| CANC ORD | | DD/ P LT SELL TRAN | w | × | • | 0 | 000 | INFO |
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DEL

CLEAR

TAB

| Field Name | Туре | IN/OUT | Optional / | Remarks |
|------------|-----------------------|--------|------------|---|
| | | | Mandatory | |
| STOCK | Number (5) | IN | Mandatory | Security Code |
| PRICE | Numeric (9999.999) | IN | Mandatory | Price with 3 decimal places |
| QTY | Number (8) | IN | Mandatory | Quantity |
| BROKER | Number (4) | IN | Mandatory | For non-direct sales, correspondent broker number is required For direct sales, broker number is empty |
| DIR? | Y /N | IN | Mandatory | Director Indicator Y = (for direct sales only) N = (for non-direct sales) Blank = others (for direct sales only) |
| I/B | Characters (1) | IN | Mandatory | Settlement Type I = Isolated B = Buy-in Blank = others (for direct sales only) |
| OS? | Y/N | IN | Mandatory | Overseas Indicator N= default setting, must be N in this function Y= Overseas Trade |



| REF | Characters (10) | IN | Optional | Broker Reference, 10 digits of characters for user to insert |
|--------------|--------------------|-----|-----------|---|
| SS? | Character (1) | IN | Mandatory | Short Sell Indicator Y = Shortsell N = Non-shortsell M = Market Maker Shortsell A = Index Arbitrage Shortsell F = Stock Futures Hedging shortshell |
| тс | Characters (1) | IN | Mandatory | Origin Indicator A = Agency P = Principal Blank = None |
| CLIENT | Characters (8) | IN | Optional | Client Account, and it will map to trader's default account if it is empty |
| Short Name | Characters (15) | OUT | | Displays the Short Name of the Input Security |
| Chinese Name | Characters (8) | OUT | | Displays the Chinese Name of the Input Security |



Step1&Step2

| St | | | | | | | | |
|----|---|-------------------------|--|-----------------------|--------------------------------------|------------|--------|-----------------|
| | MWS Par | ody | | | | | | ti_lox |
| | PRE/SP | SELL TRAN | STOCK BROKER | PRICE DIR? NI/B | QTY OS? N REF | SS? NITC A | CLIENT | |
| | | | | | | | | |
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| ł | S V 1 80.000 | 1,000 | | _ | | | | |
| | HSI | | CEI | T/ | O 157.327M | | | ET Trade |
| | 12:30 66 B 463 | 3.000 2,000 Q C | Filled 2,000 Outst | anding | | | | speed station; |
| | | | | | | | | |
| St | ep3 & Step4 | | | | | | | |
| St | MWS Par | ody | - PTOOK 4 | | | | | <u>ti_lox</u> ; |
| St | | ody SELL TRAN NG | STOCK 1 BROKER 2244 Please confirm | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | <u>0_0×</u> . |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE 8 DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | b_ IOX; |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | <u> 1_0×</u> , |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | Ð_ OX; |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 1,000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | |
| St | MWS Par PRE/SP CHEUNG KOI | ody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | |
| St | MWS Par PRE/SP CHEUNG KOI 長江實業 | rody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | 0.000 QTY 1,000 OS? N REF PRE_ORD | SS? N TC A | CLIENT | |
| | MWS Par PRE/SP CHEUNG KOI | rody SELL TRAN NG | BROKER 2244 | PRICE E DIR? N I/B | OS? N REF PRE_ORD | SS? N TC A | CLIENT | |

Step5

Press ALT+F3 (101-Keyboard) or CONFIRM (Customized Keyboard)



Step6

| MWS Parody [User: FRANCIS, Version: 1.02.r33376] | | | | | | | | |
|---|-------------|-----------------------|--------|-------|--------|--|--------|-------------------|
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| S R 1 \$80.000 1,00 HSI 22253.31 + | | 9833.16 | +53.44 | T/O 2 | 6.505B | | | |
| 11:27 1 1,000 share | s cancelled | | | | | | | speed station: |

After that, the message "Sale Accepted" will be displayed, and the order information is shown in status bar and the bottom of the MWS window. The selected trade will be updated with the latest order information.

| 12:46 Trade | 1 A \$80 1,000 | |
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