

# 4.27 Trades Enquiry (Both Purchases and Sales)

This real-time enquiry enables traders to enquire on purchases and sales trades belonging to him. Trader may optionally specify to security code, market code, start time or print the result. The details of trade such as market code, time of trade, security code, security currency, trade price, trade quantity and so on are displayed. The default sorting order is chronological descending. The differences between Trades Enquiry (both purchases and sales) and this function is that, this function focuses on trades of specific security codes, the other one can have all trades shown.

Exchange's customized-keyboard

# For Exchange's customized-keyboard:

Custonn	ized keybourd.
Step1:	Press TRAN ENQ
Step2:	Press TAB
Step3:	Input Data
Step4:	Press ENTER

# For 101-keyboard:

Press F12
Press TAB
Input Data
Press ENTER

CON- FIRM	A B C D E	PRE- VIOUS <b>1</b> NEXT	PRINT SCREEN
SHIFT	F G H I J	$\leftarrow  \downarrow  \rightarrow$	EXIT
ORD ENQ	K L M N O	1 2 3	ABORT
TRAN ENQ	P Q R S T	4 5 6	REPEAT
REV ENQ	OLTJ BUY TRAN U V	7 8 9	MARKET
CANC ORD	MOD- IPY ODD SPLT SELL TRAN W X	. 0 000	INFO
REJ- ECT	PRE /SP SELL ORD OTE REQ Y Z	QLEAR DEL TAB	ENTER

#### Fields for user reference

Field Name	Туре	IN/OUT	Optional / Mandatory	Remarks
STOCK	Number (5)	IN	Optional	Security Code FILTER Will show all trades if it is left blank
МКТ	Characters (4)	IN	Optional	Market filter, STOCK must be blank when used MAIN = Main market GEM = GEM Market ETS = Extended Trading Securities NASD = NASDAQ Will show all orders if it is left blank
START TIME	Time (HH.MM)	IN	Optional	Time filter with hours and minutes, 24 Hours format The trades before the Time will be hidden from enquiry By default 00:00 to show all trades
PRINT	Y/N	IN	Mandatory	Print the trade journal (all trades) Y = print the enquiry to connected printer N = default setup

Remarks:

SHIFT + F12 (101-Keyboard) / SHIFT + TRAN ENQ (Customized Keyboard) – To view the daily summary of trades The summary will include shares if filter is applied.



Step1

Jiebi										
MWS Parody	[User: F	RANC	IS, Versio	on: 1.02.	.r34568 ]					tillita
TRAN ENQ		TOCK ROKER		RICE (T	START T	QTY IME 0(	0:00 PRINT	? N	CLIE	NT
15-16 S.D. 1010 CA	D 2 000 5	1100								
15:16 S P 1012 CA HSI 23144.49 -3	7.23	4408 HKL 2	8204.16	+41.85	GEM	509.52		T/O	38.375B	ET Trade
[16:02] 15:14 4362	S USD 1.0	00 50 F	50 Filled (	) Outstar	nding					speed station;;

## Step2& Step 3

MWS Parody [	User: FRANCIS, \	/ersion: 1.02.ı	r34568 ]			ti_lox,
TRAN ENQ MTR CORPORATION 港鐵公司	STOCK BROKER	66 PRICE MKT MAIN	QTY START TIME	10:00  PRINT ?	N	CLIENT
15:16 S P 1012 CAD HSI 23144.49 -37.	2.000 5 4488 <mark>23</mark> HKL 28204	l.16 +41.85	GEM 509.52		T/O 38.37	58
[16:05] 15:14 4362 S	USD 1.000 50 F 50 I	Filled 0 Outstan	ding			speed



Step4

MW	/S Parod	y [User:		ICIS, Ver	sion: 1.02.ı	34568 ]							5_0
tran e	ENQ		STOCH BROKE Trade		PRICE MKT	Q <sup>T</sup> START TIME		00:00 PRINT ?	N		CL	IENT	
ime	Stock			Cur	r Price	Qty	Corr	Reference	B/S	: Туре		тс	Client
6:04	66 MT	IR CORPOR	RATION	#HKD	36.000	500	9454	PURCHASE	В	R		NA	CFRANCIS
6:04	66 MT	IR CORPOR	RATION	#HKD	20.000	500		PURCHASE	В	V		NA	CFRANCIS
:41	66 MT	IR CORPOR	RATION	#HKD	0 10.000	2,000	9956	8 REJECT	S	M		NA	CFRANCIS
:39	66 MT	IR CORPOR	RATION	#HKC	0 10.000	1,000	4411		S	M		NA	CFRANCIS
:39	66 MT	IR CORPOR	RATION	#HKD	0 10.000	1,000	2255	; ;	S	R		NA	CFRANCIS
:38	66 MT	IR CORPOR	RATION	#HKD	0 10.000	1,000	8888	}	S	M		NA	CFRANCIS
:38	66 MT	IR CORPOR	RATION	#HKD	0 10.000	1,000	4111		S	M		NA	CFRANCIS
:38	66 MT	IR CORPOR	RATION	#HKD	0 10.000	1,000	1111		S	M		NA	CFRANCIS
:38	66 MT	IR CORPOR	RATION	#HKD	0 10.000	1,000	1111		S	M		NA	CFRANCIS
ISI 23	3144.49		HKL	9454 28204.16	+41.85 d 0 Outstand	GEM 50	9.52	-0.29	T/O	38.375	5B		Spee static



## Remarks:

# SHIFT + F12 (101-Keyboard) / SHIFT + TRAN ENQ (Customized Keyboard) – Trade Summary Toggle

User can toggle the lower part to show Trade Summary. It shows in the lower part of the screen and sort by currency code in ascending order. The summary total sums up the trade amount in different currencies.

MV	VS Parody [U	ser: FRANC	IS, Versi	on: 1.02.r	34568]						to_	
TRAN	ENQ	STOCK		RICE		QTY						
		BROKER	. Mk	ст — — — — — — — — — — — — — — — — — — —	START T	IME	00:00 PRINT ?	N		CLIENT		
		Trade En	quiry						01			
Time	Stock		Curr	Price		Qty Co	rr Reference	B/S	Туре	TC	Client	
16:04	66 MTR COR	PORATION	#HKD	36.000		500 949	54 PURCHASE	В		NA	CFRANCIS	
6:04	66 MTR COR		#HKD	20.000		500	PURCHASE	В		NA	CFRANCIS	
5:16	1012 P ONTARI		CAD	2.000			38 DIRECT	S	Р	NA	CFRANCIS	
5:15	4536 HKMC FR		AUD	10.000	2,	,000	BOND	В	V	NA	CFRANCIS	
5:15	83008 C-SHS CS		#CNY	1.000		200	RMB	S	MX	NA	CFRANCIS	
5:14	4362 ISHARES		#USD	1.000		50	USD	S	AX	F NA	CFRANCIS	
5:14	4362 ISHARES	KOR-TR	#USD	1.000		50	USD	B		F NA	CFRANCIS	
4:52	8 PCCW		#HKD	50.000		000	BUY_TRAN	В	V	NA	CFRANCIS	
4:39	20 WHEELO		#HKD	10.000		,000		B		NA	CFRANCIS	
14:34	6 POWER A	SSETS	#HKD	15.500	1,	,000		S	MΧ	NA	CFRANCIS	
	L PURCHASES AU				0.000		SALES AUD					0.00
TOTA	L PURCHASES CA	D			0.000		SALES CAD					10.00
	L PURCHASES CN				0.000		SALES CNY					200.00
	L PURCHASES HK			102	2,000.000		SALES HKD				2,299,1	
TOTA	L PURCHASES US	D			50.000	TOTAL	SALES USD					50.00
11:40 E	3 R 823 HKD 42.	000 1,000 94	54									
			8204.16	+41.85	GEM			T/O	38.375	в		
												ET Trad
[16:25]	15:14 4362 S US	SD 1.000 50 F	50 Filled	D Outstand	ding							statio

## If user specifies to view one particular stock, the grouping will include number of shares.

MW	/S Parody	[User: FRAN	ICIS, Versi	on: 1.02.r	34568 ]						to_	
TRAN I	ENQ	STOCK BROKE Trade I		PRICE ≺T	G START TIMI	ΩTY E C	0:00 PRINT ?	N	01	CLIEN	IT	
Time	Stock		Curr	Price	Qt	y Corr	Reference	B/9	3 Туре	T	C Client	
16:04	66 MTR	CORPORATION	#HKD	36.000	50	0 9454	PURCHASE	В	R	N	A CFRANCIS	
16:04	66 MTR	CORPORATION	#HKD	20.000	50		PURCHASE	В		N	A CFRANCIS	
11:41	66 MTR -	CORPORATION	#HKD	10.000	2,00	0 9956	REJECT	S	M	* N	A CFRANCIS	
11:39	66 MTR	CORPORATION	#HKD	10.000	1,00	0 4411		S	M	N	A CFRANCIS	
11:39	66 MTR	CORPORATION	#HKD	10.000	1,00	0 2255		S	R	N	A CFRANCIS	
11:38	66 MTR	CORPORATION	#HKD	10.000	1,00	0 8888		S	M	* N	A CFRANCIS	
11:38	66 MTR -	CORPORATION	#HKD	10.000	1,00	0 4111		S	M	* N	A CFRANCIS	
11:38	66 MTR -	CORPORATION	#HKD	10.000	1,00	0 1111		S	M	* N	A CFRANCIS	
11:38	66 MTR -	CORPORATION	#HKD	10.000	1,00	0 1111		S	M	* N	A CFRANCIS	
TOTAL	PURCHASE	3 HKD		18	3,000.000 T	OTAL S	ALES HKD				20,0	00.000
TOTAL	PURCHASE	5 SHARES					ALES SHARES					2,000
			9 <b>454</b> 28204.16	+41.85	GEM <mark>5</mark>			T/O	38.375	iB		
[16:07]	15:14 4362	S USD 1.000 50	) F 50 Filled	0 Outstand	ding							et Trade speed station

(The summary totals are for reference only. Overseas Trades are excluded.)



# Reference Table of Column TYPE

Position in Type	Description
1st Private Trade Type	"U" for auction match, "A" for automatch, "M" for manual (price within normal range), "S" for manual (price outside normal range), "Q" for special lot, "P" for
	odd lot, "E" for semi-automatic special lot, "O" for semi-automatic odd lot, "V"
	for overseas, "R" for pre-open.
2nd Direct Business	"X" is displayed for direct business trade while blank for non-direct business trade
Trade	
3rd isolated/buy-in	"I" is displayed if "I" is input in I/B field, "B" is displayed if "B" is input in I/B field,
	otherwise it is blank
4th Shortsell	"H" for "Y" input in SS field, "A" for "A" input in SS field, "F" for "F" input in SS
	field, "M" for "M" input in SS field, blank for "N" input in SS field
5th Execution Status	Blank in ETTSS
6th Filled/ Rejected	"*" is displayed if trade has been rejected or cancelled by admin, "F" is displayed
	if the order was fully filled, otherwise it is blank