

4.27 Trades Enquiry (Both Purchases and Sales)

This real-time enquiry enables traders to enquire on purchases and sales trades belonging to him. Trader may optionally specify to security code, market code, start time or print the result. The details of trade such as market code, time of trade, security code, security currency, trade price, trade quantity and so on are displayed. The default sorting order is chronological descending. The differences between Trades Enquiry (both purchases and sales) and this function is that, this function focuses on trades of specific security codes, the other one can have all trades shown.

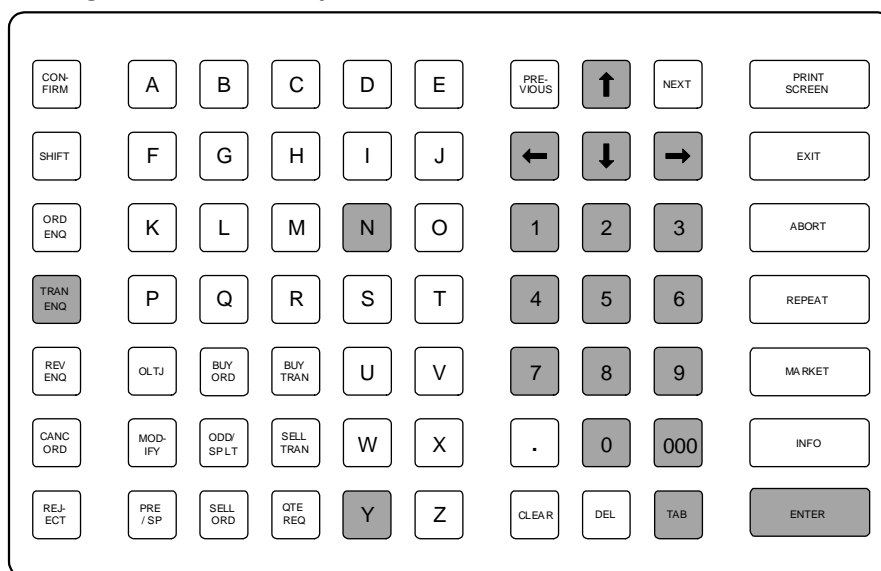
For Exchange's customized-keyboard:

- Step1: Press TRAN ENQ
 Step2: Press TAB
 Step3: Input Data
 Step4: Press ENTER

For 101-keyboard:

- Step1: Press F12
 Step2: Press TAB
 Step3: Input Data
 Step4: Press ENTER

Exchange's customized-keyboard



Fields for user reference

Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
STOCK	Number (5)	IN	Optional	Security Code FILTER Will show all trades if it is left blank
MKT	Characters (4)	IN	Optional	Market filter, STOCK must be blank when used MAIN = Main market GEM = GEM Market ETS = Extended Trading Securities NASD = NASDAQ Will show all orders if it is left blank
START TIME	Time (HH.MM)	IN	Optional	Time filter with hours and minutes, 24 Hours format The trades before the Time will be hidden from enquiry By default 00:00 to show all trades
PRINT	Y/N	IN	Mandatory	Print the trade journal (all trades) Y = print the enquiry to connected printer N = default setup

Remarks:

SHIFT + F12 (101-Keyboard) / SHIFT + TRAN ENQ (Customized Keyboard) – To view the daily summary of trades
 The summary will include shares if filter is applied.

Step1

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

TRAN ENQ	STOCK	PRICE	QTY	START TIME	00:00	PRINT ?	N	CLIENT
	BROKER	MKT						
15:16 S P	1012 CAD	2.000	5	4488				
HSI	23144.49	-37.23	HKL	28204.16	+41.85	GEM	509.52	-0.29
						T/O	38.375B	
[16:02]	15:14	4362 S	USD 1.000	50 F	50	Filled	0	Outstanding



Step2& Step 3

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

TRAN ENQ	STOCK	66 PRICE	QTY	START TIME	10:00	PRINT ?	N	CLIENT
	BROKER	MKT	MAIN					
15:16 S P	1012 CAD	2.000	5	4488				
HSI	23144.49	-37.23	HKL	28204.16	+41.85	GEM	509.52	-0.29
						T/O	38.375B	
[16:05]	15:14	4362 S	USD 1.000	50 F	50	Filled	0	Outstanding



Step4

MWS Parody [User: FRANCIS, Version: 1.02.r34568]											
TRAN	ENQ	STOCK	66	PRICE	QTY			00:00	PRINT ?	N	CLIENT
		BROKER	MKT	START TIME							
Trade Enquiry											
Time	Stock	Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client	
16:04	66 MTR CORPORATION	#HKD	36.000	500	9454	PURCHASE	B	R	NA	CFRANCIS	
16:04	66 MTR CORPORATION	#HKD	20.000	500		PURCHASE	B	V	NA	CFRANCIS	
11:41	66 MTR CORPORATION	#HKD	10.000	2,000	9956	REJECT	S	M	*	NA	CFRANCIS
11:39	66 MTR CORPORATION	#HKD	10.000	1,000	4411		S	M		NA	CFRANCIS
11:39	66 MTR CORPORATION	#HKD	10.000	1,000	2255		S	R		NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	8888		S	M	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	4111		S	M	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	1111		S	M	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	1111		S	M	*	NA	CFRANCIS
11:40 B R 823 HKD 42.000 1,000 9454 HSI 23144.49 -37.23 HKL 28204.16 +41.85 GEM 509.52 -0.29 T/O 38.375B											
[16:07] 15:14 4362 S USD 1.000 50 F 50 Filled 0 Outstanding											



Remarks:

SHIFT + F12 (101-Keyboard) / SHIFT + TRAN ENQ (Customized Keyboard) – Trade Summary Toggle

User can toggle the lower part to show Trade Summary. It shows in the lower part of the screen and sort by currency code in ascending order. The summary total sums up the trade amount in different currencies.

MWS Parody [User: FRANCIS, Version: 1.02.r34568]											
TRAN ENQ	STOCK	PRICE	QTY		00:00 PRINT ?		N	CLIENT			
	BROKER	MKT	START TIME								
Trade Enquiry											
Time	Stock	Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client	
16:04	66 MTR CORPORATION	#HKD	36.000	500	9454	PURCHASE	B	R	NA	CFRANCIS	
16:04	66 MTR CORPORATION	#HKD	20.000	500		PURCHASE	B	V	NA	CFRANCIS	
15:16	1012 P ONTARIO B2024	CAD	2.000	5	4488	DIRECT	S	P	NA	CFRANCIS	
15:15	4536 HKMC FRN N1702	AUD	10.000	2,000		BOND	B	V	NA	CFRANCIS	
15:15	83008 C-SHS CSI 300-R	#CNY	1.000	200		RMB	S	MX	NA	CFRANCIS	
15:14	4362 ISHARES KOR-TR	#USD	1.000	50		USD	S	AX	F	NA	CFRANCIS
15:14	4362 ISHARES KOR-TR	#USD	1.000	50		USD	B	AX	F	NA	CFRANCIS
14:52	8 PCCW	#HKD	50.000	1,000		BUY_TRAN	B	V	NA	CFRANCIS	
14:39	20 WHEELLOCK	#HKD	10.000	2,000			B	V	NA	CFRANCIS	
14:34	6 POWER ASSETS	#HKD	15.500	1,000			S	MX	NA	CFRANCIS	
TOTAL PURCHASES AUD				0.000	TOTAL SALES AUD				0.000		
TOTAL PURCHASES CAD				0.000	TOTAL SALES CAD				10.000		
TOTAL PURCHASES CNY				0.000	TOTAL SALES CNY				200.000		
TOTAL PURCHASES HKD				102,000.000	TOTAL SALES HKD				2,299,180.000		
TOTAL PURCHASES USD				50.000	TOTAL SALES USD				50.000		
11:40 B R 823 HKD 42.000 1,000 9454											
HSI 23144.49 -37.23 HKL 26204.16 +41.85 GEM 509.52 -0.29 T/O 38.375B											
[16:25] 15:14 4362 S USD 1.000 50 F 50 Filled O Outstanding											

If user specifies to view one particular stock, the grouping will include number of shares.

MWS Parody [User: FRANCIS, Version: 1.02.r34568]											
TRAN ENQ	STOCK	PRICE	QTY		00:00 PRINT ?		N	CLIENT			
	BROKER	MKT	START TIME								
Trade Enquiry											
Time	Stock	Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client	
16:04	66 MTR CORPORATION	#HKD	36.000	500	9454	PURCHASE	B	R	NA	CFRANCIS	
16:04	66 MTR CORPORATION	#HKD	20.000	500		PURCHASE	B	V	NA	CFRANCIS	
11:41	66 MTR CORPORATION	#HKD	10.000	2,000	9956	REJECT	S	M	*	NA	CFRANCIS
11:39	66 MTR CORPORATION	#HKD	10.000	1,000	4411		S	M	*	NA	CFRANCIS
11:39	66 MTR CORPORATION	#HKD	10.000	1,000	2255		S	R	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	8888		S	M	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	4111		S	M	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	1111		S	M	*	NA	CFRANCIS
11:38	66 MTR CORPORATION	#HKD	10.000	1,000	1111		S	M	*	NA	CFRANCIS
TOTAL PURCHASES HKD				18,000.000	TOTAL SALES HKD				20,000.000		
TOTAL PURCHASES SHARES				500	TOTAL SALES SHARES				2,000		
11:40 B R 823 HKD 42.000 1,000 9454											
HSI 23144.49 -37.23 HKL 26204.16 +41.85 GEM 509.52 -0.29 T/O 38.375B											
[16:07] 15:14 4362 S USD 1.000 50 F 50 Filled O Outstanding											

(The summary totals are for reference only. Overseas Trades are excluded.)

Reference Table of Column TYPE

Position in Type	Description
1st Private Trade Type	“U” for auction match, “A” for automatch, “M” for manual (price within normal range), “S” for manual (price outside normal range), “Q” for special lot, “P” for odd lot, “E” for semi-automatic special lot, “O” for semi-automatic odd lot, “V” for overseas, “R” for pre-open.
2nd Direct Business Trade	“X” is displayed for direct business trade while blank for non-direct business trade
3rd isolated/buy-in	“I” is displayed if “I” is input in I/B field, “B” is displayed if “B” is input in I/B field, otherwise it is blank
4th Shortsell	“H” for “Y” input in SS field, “A” for “A” input in SS field, “F” for “F” input in SS field, “M” for “M” input in SS field, blank for “N” input in SS field
5th Execution Status	Blank in ETTSS
6th Filled/ Rejected	“*” is displayed if trade has been rejected or cancelled by admin, “F” is displayed if the order was fully filled, otherwise it is blank