

## 4.28 Trades Enquiry (Purchases Only)

This real-time enquiry enables traders to enquire on all the day's purchase belonging to him. Trader may optionally specify to print the result. The details of trade such as market code, time of trade, security code, security currency, trade price, trade quantity and so on are displayed. The default sorting order is chronological descending.

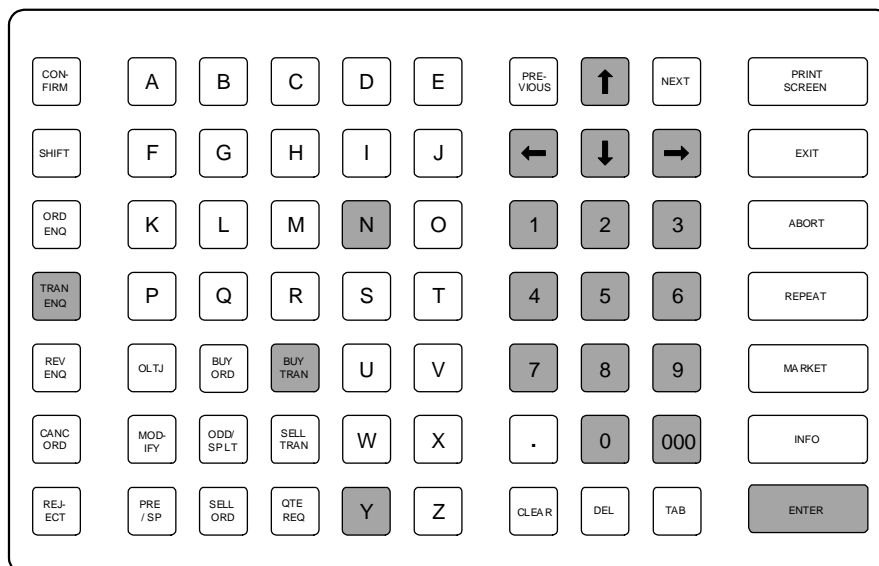
### For Exchange's customized-keyboard:

- Step1: Press TRAN ENQ  
 Step2: Press BUY TRAN  
 Step3: Input Data  
 Step4: Press ENTER

### For 101-keyboard:

- Step1: Press F12  
 Step2: Press F8  
 Step3: Input Data  
 Step4: Press ENTER

### Exchange's customized-keyboard



### Fields for user reference

Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
STOCK	Number (5)	IN	Optional	Security Code FILTER Will show all trades if it is left blank
MKT	Characters (4)	IN	Optional	Market filter, STOCK must be blank when used MAIN = Main market GEM = GEM Market ETS = Extended Trading Securities NASD = NASDAQ Will show all orders if it is left blank
START TIME	Time (HH.MM)	IN	Optional	Time filter with hours and minutes, 24 Hours format The trades before the Time will be hidden from enquiry By default 00:00 to show all trades
PRINT	Y/N	IN	Mandatory	Print the trade journal (all trades) Y = print the enquiry to connected printer N = default setup

### Remarks:

SHIFT + F12 (101-KeyBoard) / SHIFT + TRAN ENQ (Customized Keyboard) – To view the daily summary of trades  
 The summary will include shares if filter is applied.

Step1& Step2

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

TRAN	ENQ	BUY	TRAN	STOCK	PRICE	QTY				
				BROKER	MKT	START TIME	00.00	PRINT ?	N	CLIENT
16:25 B V 55 HKD 10.000 1.000										
HSI	23144.49	-37.23	HKL	28204.16	+41.85	GEM	509.52	-0.29	T/O	38.375B
[16:28] 15:14 4362 S USD 1.000 50 F 50 Filled 0 Outstanding										

Step3 & Step4

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

TRAN	ENQ	BUY	TRAN	STOCK	PRICE	QTY							
				BROKER	MKT	START TIME	00.00	PRINT ?	N	CLIENT			
Trade Enquiry													
Time	Stock			Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client	
16:25	55	NEWAY GROUP		#HKD	10.000	1,000			B	V	NA	CFRANCIS	
16:04	66	MTR CORPORATION		#HKD	36.000	500	9454	PURCHASE	B	R	NA	CFRANCIS	
16:04	66	MTR CORPORATION		#HKD	20.000	500		PURCHASE	B	V	NA	CFRANCIS	
15:15	4536	HKMC FRN N1702		AUD	10.000	2,000		BOND	B	V	NA	CFRANCIS	
15:14	4362	ISHARES KOR-TR		#USD	1.000	50		USD	B	AX	F	NA	CFRANCIS
14:52	8	PCCW		#HKD	50.000	1,000		BUY_TRAN	B	V	NA	CFRANCIS	
14:39	20	WHEELLOCK		#HKD	10.000	2,000			B	V	NA	CFRANCIS	
11:40	823	LINK REIT		#HKD	42.000	1,000	9454	PURCHASE	B	R	NA	CFRANCIS	
11:40	823	LINK REIT		#HKD	42.000	1,000	9454	ACKNO_PUR	B	R	N	CFRANCIS	
16:25 B V 55 HKD 10.000 1.000													
HSI	23144.49	-37.23	HKL	28204.16	+41.85	GEM	509.52	-0.29	T/O	38.375B			
[16:29] 15:14 4362 S USD 1.000 50 F 50 Filled 0 Outstanding													

Remarks:

**SHIFT + F12 (101-Keyboard) / SHIFT + TRAN ENQ (Customized Keyboard)** – Trade Summary Toggle

User can toggle the lower part to show Trade Summary. It shows in the lower part of the screen and sort by currency code in ascending order. The summary total sums up the trade amount in different currencies.

Time	Stock	Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client	
16:25	55 NEWAY GROUP	#HKD	10.000	1,000			B	V	NA	CFRANCIS	
16:04	66 MTR CORPORATION	#HKD	36.000	500	9454	PURCHASE	B	R	NA	CFRANCIS	
16:04	66 MTR CORPORATION	#HKD	20.000	500		PURCHASE	B	V	NA	CFRANCIS	
15:15	4536 HKMC FRN N1702	AUD	10.000	2,000		BOND	B	V	NA	CFRANCIS	
15:14	4362 ISHARES KOR-TR	#USD	1.000	50		USD	B	AX	F	NA	CFRANCIS
14:52	8 PCCW	#HKD	50.000	1,000		BUY_TRAN	B	V	NA	CFRANCIS	
14:39	20 WHEELLOCK	#HKD	10.000	2,000			B	V	NA	CFRANCIS	
11:40	823 LINK REIT	#HKD	42.000	1,000	9454	PURCHASE	B	R	NA	CFRANCIS	
11:40	823 LINK REIT	#HKD	42.000	1,000	9454	ACKNO_PUR	B	R	N	CFRANCIS	
TOTAL PURCHASES AUD				0.000	TOTAL SALES AUD		0.000				
TOTAL PURCHASES HKD				102,000.000	TOTAL SALES HKD		0.000				
TOTAL PURCHASES USD				50.000	TOTAL SALES USD		0.000				
16:25 B V 55 HKD 10.000 1.000 HSI 23144.49 -37.23 HKL 28204.16 +41.85 GEM 509.52 -0.29 T/O 38.375B											
[16:26] 15:14 4362 S USD 1.000 50 F 50 Filled 0 Outstanding											

If user specifies to view one particular stock, the grouping will include number of shares.

Time	Stock	Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client
16:04	66 MTR CORPORATION	#HKD	36.000	500	9454	PURCHASE	B	R	NA	CFRANCIS
16:04	66 MTR CORPORATION	#HKD	20.000	500		PURCHASE	B	V	NA	CFRANCIS
TOTAL PURCHASES HKD				18,000.000	TOTAL SALES HKD		0.000			
TOTAL PURCHASES SHARES				500	TOTAL SALES SHARES		0			
16:25 B V 55 HKD 10.000 1.000 HSI 23144.49 -37.23 HKL 28204.16 +41.85 GEM 509.52 -0.29 T/O 38.375B										
[16:27] 15:14 4362 S USD 1.000 50 F 50 Filled 0 Outstanding										

(The summary totals are for reference only. Overseas Trades are excluded.)

Reference Table of Column TYPE

Position in Type	Description
1st Private Trade Type	“U” for auction match, “A” for automatch, “M” for manual (price within normal range), “S” for manual (price outside normal range), “Q” for special lot, “P” for odd lot, “E” for semi-automatic special lot, “O” for semi-automatic odd lot, “V” for overseas, “R” for pre-open.
2nd Direct Business Trade	“X” is displayed for direct business trade while blank for non-direct business trade
3rd isolated/buy-in	“I” is displayed if “I” is input in I/B field, “B” is displayed if “B” is input in I/B field, otherwise it is blank
4th Shortsell	“H” for “Y” input in SS field, “A” for “A” input in SS field, “F” for “F” input in SS field, “M” for “M” input in SS field, blank for “N” input in SS field
5th Execution Status	Blank in ETTSS
6th Filled/ Rejected	“*” is displayed if trade has been rejected or cancelled by admin, “F” is displayed if the order was fully filled, otherwise it is blank