

4.31.1 Price Warning Mechanism (For Order Input or Modify Without Available Security Nominal Price)

Price warning mechanism for order input or modify order without available security nominal price, user enters security code and price. If all is correct, an order submitted message is displayed.

The background colour of input window for input turns red. The re-entered price and quantity must be the same as original. After the order is submitted, the background returns to its usual colour. If user presses ABORT key during input or closes the MPS window, the order will be cancelled.

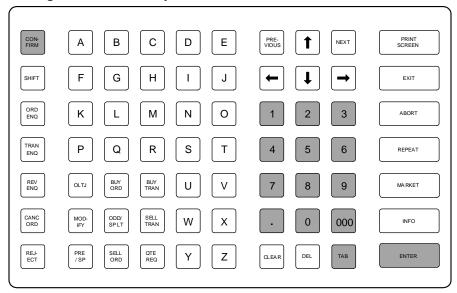
For Exchange's customized-keyboard:

Step1: Input Data
Step2: Press ENTER
Step3: Press CONFIRM

For 101-keyboard:

Step1: Input Data Step2: Press ENTER Step3: Press ALT+F3

Exchange's customized-keyboard



Fields for user reference

Field Name	Туре	IN/OUT	Optional /	Remarks
			Mandatory	
STOCK	Number (5)	IN	Mandatory	Security Code, must equal to the number shown in price warning message
PRICE	Number (9999.999)	IN	Mandatory	Price with 3 decimal places, must equal to the number shown in price warning message



Order Input

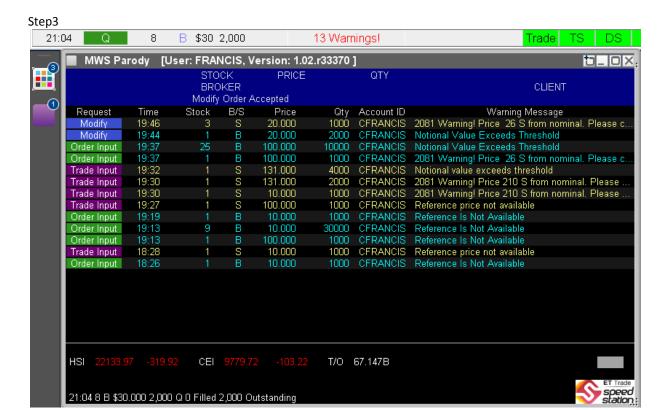
Step 1

MWS Parody [User: FRANCIS, Version: 1.02.r33: MODIFY STOCK PRICE					0.00		2,000
WODII			KER	SIDE	В	REF	SS? N TO A CLIENT CFRANCIS
				Is Not Avalia		KLI	88: N TO A CELENT CHANCIS
Request	Time	Stock	B/S	Warning Message			
Modify	19:47	8	В	Price 30.000	Qty 2000	Account ID CFRANCIS	
Modify	19:46	3	S	20.000	1000		2081 Warning! Price 26 S from nominal. Please c.
Modify	19:44	1	В	20.000			Notional Value Exceeds Threshold
Order Input	19:37	25	В	100.000	10000		Notional Value Exceeds Threshold
Order Input	19:37	20	В	100.000	1000		2081 Warning! Price 26 S from nominal. Please c
Trade Input	19:32	1	S	131.000	4000		Notional value exceeds threshold
Trade Input	19:32	1	S	131.000	2000	CFRANCIS	
Frade Input Frade Input	19:30	1	S	10.000	1000		2001 Warning! Price 210 S from nominal. Please . 2081 Warning! Price 210 S from nominal. Please .
Frade Input	19:30	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:27	1	В	10.000	1000	CFRANCIS	Reference Is Not Available
Order Input Order Input	19:19	9	В	10.000		CFRANCIS	Reference is Not Available
Order Input	19:13	1	В	100.000	1000	CFRANCIS	Reference Is Not Available
		1	S		1000		
Frade Input	18:28	4		10.000		CFRANCIS	
Order Input	18:26		В	10.000	1000	CFRANCIS	Reference Is Not Available
ISI 22133.9	7 -319.92	CEI	9779.72	-103.22	T/O	67.147B	
19:46 8 B \$30.000 1,000 Q 0 Filled 1,000 Outstanding							

Step2









Order Input

Step 1

ody [Us	er. FRAI	1CIS, 1	/ersion: 1.02	.r33370		to_0x,		
			O PRICE			1,000		
				L AON	I? N REF	SS? N TO A CLIENT CFRANCIS		
T:				٥.		502 1 24		
						Warning Message		
						Notional Value Exceeds Threshold		
	25					Notional Value Exceeds Threshold		
	1					2081 Warning! Price 26 S from nominal. Please c		
	1					Notional value exceeds threshold		
	1					2081 Warning! Price 210 S from nominal. Please		
	1							
	1					Reference price not available Reference Is Not Available		
						Reference is Not Available		
	9					Reference is Not Available Reference Is Not Available		
	1							
	1					Reference price not available Reference Is Not Available		
10.20		D	10.000	1000	CERANCIS	Reference is Not Available		
-319.92	CEI			T/O	67.147B			
ET Trade								
21:04 8 B \$30.000 2,000 Q 0 Filled 2,000 Outstanding								
	Time 19:46 19:44 19:37 19:37 19:30 19:30 19:27 19:19 19:13 19:13 19:13 18:28 18:26	STO BRO Referei Time Stock 19:46 3 19:44 1 19:37 25 19:37 1 19:30 1 19:30 1 19:27 1 19:13 9 19:13 18:28 1 18:26 1	STOCK BROKER Reference Is No. 19:46 3 S 19:44 1 B 19:37 25 B 19:37 1 B 19:30 1 S 19:30 1 S 19:27 1 S 19:13 9 B 19:13 9 B 19:13 1 B 18:28 1 S 18:26 1 B	STOCK 10 PRICE	STOCK D PRICE 0.00 BROKER TYPE L AON Reference Is Not Available Time Stock B/S Price Qty 19:46 3 S 20.000 1000 19:44 1 B 20.000 2000 19:37 25 B 100.000 10000 19:37 1 B 100.000 10000 19:32 1 S 131.000 4000 19:30 1 S 131.000 2000 19:30 1 S 131.000 2000 19:27 1 S 100.000 1000 19:27 1 S 100.000 1000 19:13 9 B 10.000 1000 19:13 9 B 10.000 30000 19:13 1 B 100.000 1000 18:28 1 B 10.000 1000 18:26 1 B 10.000 1000 1000 18:26 1 B 10.000 1000 1000	STOCK D PRICE D.000 QTY		

Step2





Step3 B \$10 1,000 12 Warnings! Trade 21:07 Q [User: FRANCIS, Version: 1.02.r33370] to_lolx MWS Parody STOCK PRICE QTY BROKER CLIENT Order Accepted Warning Message 2081 Warning! Price 26 S from nominal. Please c.. Notional Value Exceeds Threshold Request Stock B/S Price Qty Account ID Time 20.000 Modify 19:46 1000 CFRANCIS Modify 19:44 В 20.000 2000 CFRANCIS Order Input 19:37 25 100,000 10000 CFRANCIS Notional Value Exceeds Threshold 2081 Warning! Price 26 S from nominal. Please c.. Notional value exceeds threshold 19:37 Order Input В 100,000 CFRANCIS 1000 19:32 8888 131,000 4000 CFRANCIS Trade Input 2081 Warning! Price 210 S from nominal. Please .. Trade Input 19:30 131.000 2000 CFRANCIS 1000 CFRANCIS 2081 Warning! Price 210 S from nominal. Please ... 19:30 10.000 Trade Input **CFRANCIS** Trade Input 19:27 100.000 1000 Reference price not available Order Input 19:13 10,000 30000 CFRANCIS Reference Is Not Available Order Input 19:13 100.000 1000 CFRANCIS Reference Is Not Available Trade Input Order Input 10.000 1000 CFRANCIS Reference price not available 18:28 18:26 В 10.000 1000 CFRANCIS Reference Is Not Available HSI 22133.97 -319.92 CEI 9779.72 T/O 67.147B 21:07 1 B \$10,000 1,000 Q 0 Filled 1,000 Outstanding