

4.31.3 Price Warning Mechanism (For Order Input or Modify With Consideration Warning)

Price warning mechanism for order input or modify order with consideration warning is triggered when the order amount exceeded the limit. The user re-enters price and quantity. If all is correct, an order submitted message is displayed.

The background colour of input window for input turns red. The re-entered price and quantity must be the same as original. After the order is submitted, the background returns to its usual colour. If user presses ABORT key during input or closes the MPS window, the order will be cancelled.

The page is sharing with odd lot and special lot's consideration warning.

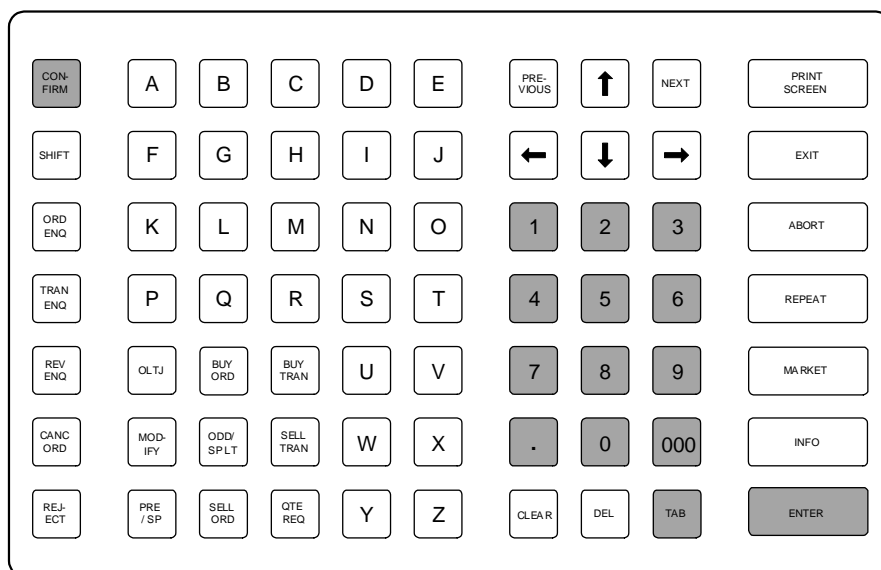
For Exchange's customized-keyboard:

- Step1: Input Data
- Step2: Press ENTER
- Step3: Press CONFIRM

For 101-keyboard:

- Step1: Input Data
- Step2: Press ENTER
- Step3: Press ALT+F3

Exchange's customized-keyboard



Fields for user reference

Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
PRICE	Number (9999.999)	IN	Mandatory	Price with 3 decimal places, must equal to the number shown in price warning message
QTY	Number (8)	IN	Mandatory	Quantity, must equal to the number shown in price warning message

Modify Order

Step1

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Modify	19:44	1	B	20.000	2000	CFRANCIS	Notional Value Exceeds Threshold
Order Input	19:37	25	B	100.000	10000	CFRANCIS	Notional Value Exceeds Threshold
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

HSI 22133.97 -319.92 CEI 9779.72 -103.22 T/O 67.147B

21:22 1 B \$100.000 1,000 Q 0 Filled 1,000 Outstanding

Step2

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Modify	19:44	1	B	20.000	2000	CFRANCIS	Notional Value Exceeds Threshold
Order Input	19:37	25	B	100.000	10000	CFRANCIS	Notional Value Exceeds Threshold
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

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21:22 1 B \$100.000 1,000 Q 0 Filled 1,000 Outstanding


Step3

21:26
Q 1 B \$20 2,000
9 Warnings!
Trade TS DS

MWS Parody [User: FRANCIS, Version: 1.02.r33370]
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		STOCK	PRICE		QTY		CLIENT	
		BROKER						
		Modify Order Accepted						
Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message	
Order Input	19:37	25	B	100.000	10000	CFRANCIS	Notional Value Exceeds Threshold	
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold	
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...	
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...	
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available	
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available	
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available	
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available	
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available	

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21:26 1 B \$20,000 2,000 Q 0 Filled 2,000 Outstanding


Order Input
Step1

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

BUY ORD STOCK 25 PRICE 0.000 QTY 0
CHEVALIER INTL BROKER TYPE L AON? N REF SS? N TC A CLIENT CFRANCIS

Notional Value Exceeds Threshold

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Order Input	19:37	25	B	100.000	10000	CFRANCIS	Notional Value Exceeds Threshold
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

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21:26 1 B \$20.000 2,000 Q 0 Filled 2,000 Outstanding



Step2

MWS Parody [User: FRANCIS, Version: 1.02.r33370]


BUY ORD STOCK 25 PRICE 100.000 QTY 10,000
CHEVALIER INTL BROKER TYPE L AON? N REF SS? N TC A CLIENT CFRANCIS

Please confirm

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Order Input	19:37	25	B	100.000	10000	CFRANCIS	Notional Value Exceeds Threshold
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
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Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

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21:26 1 B \$20.000 2,000 Q 0 Filled 2,000 Outstanding



Step3

21:27 Q 25 B \$100 10,000 8 Warnings! Trade TS DS

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
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Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

Order Accepted

STOCK PRICE QTY
BROKER CLIENT

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21:27 25 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding

