

4.31.4 Price Warning Mechanism (For Trade Input With Security Nominal Price Not Available)

Price warning mechanism for trade input without available security nominal price, user enters security code and price. If all is correct, a sale submitted message is displayed.

The background colour of input window for trade input turns red. The re-entered price and quantity must be the same as original. After the trade is submitted, the background returns to its usual colour. If trader presses ABORT key during trade input or closes the trade input window, the trade will be cancelled.

For Exchange'sExchange's customized-keyboardcustomized-keyboard:

Step1:Input DataStep2:Press ENTERStep3:Press CONFIRM

For 101-keyboard:

Step1:	Input Data
Step2:	Press ENTER
Step3:	Press ALT+F3

CON- FIRM	A B C D E	PRE- VIOUS 1 NEXT	PRINT SCREEN
SHIFT	F G H I J	$\leftarrow \downarrow \rightarrow$	EXIT
ORD ENQ	K L M N O	1 2 3	ABORT
TRAN ENQ	P Q R S T	4 5 6	REPEAT
REV ENQ	OLTJ BUY TRAN U V	7 8 9	MARKET
CANC ORD	MOD- IFY ODDY SPLT SELL W X	. 0 000	INFO
REJ- ECT	PRE SEL OTE Y Z	CLEAR DEL TAB	ENTER
)

Fields for user reference

Field Name	Туре	IN/OUT	Optional /	Remarks
			Mandatory	
STOCK	Number (5)	IN	Mandatory	Security Code, must equal to the number shown in price warning message
PRICE	Number (9999.999)	IN	Mandatory	Price with 3 decimal places, must equal to the number shown in price warning message



Step1

MWS Par	ody [Us	ser: FRAN	icis, v	/ersion: 1.02.	.r33370]	
SELL TRAN STOCK		CK 👘	D PRICE	0.00	DO QTY	1,000	
BROKEI		IKER 🔽	4008 DIR? N	I/B O	S? N REF	SS? N TC A CLIENT CFRANCIS	
		Referei	nce pric	e not available			
Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:13	9	В	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	В	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	В	10.000	1000	CFRANCIS	Reference Is Not Available
HSI 22133.9	7 -319.92 0.000 10,00		9779.7 d 10,00		T/O	67.147B	Speed station

Step2

Stepz									
	IWS Paro	dy [Use	er: FRAN	NCIS, V	/ersion: 1.0	2.r33370			J_OX
SEL	L TRAN		STO	CK	1 PRICE	100.00	IO QTY	1,000	
CHE	UNG KON	G	BRC	KER 4	4008 DIR? I	NI/B O	S? N REF	SS? N TC A CLIEN	T CFRANCIS
長江	産業		Please	e confirm					
Red	uest	Time	Stock	B/S	Price	Qty	Account ID	Warning Message	
		19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold	
		19:30	1	ŝ	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nor	ninal. Please
		19:30	1	S	10.000	1000		2081 Warning! Price 210 S from nor	
		19:27	1	S	100.000	1000	CFRANCIS	Reference price not available	
		19:13	9	В	10.000	30000	CFRANCIS	Reference is Not Available	
		19:13	1	в	100.000	1000	CFRANCIS	Reference Is Not Available	
Trade	e Input 👘	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available	
Orde	r Input	18:26	1	в	10.000	1000	CFRANCIS	Reference is Not Available	
HSI	22133.97	-319.92			2 -103.22	T/O	67.147B		
па			UEI			170	07.1470		
									CT Trade
21.22				J 10 00	0 Outotopalina				Soped
ZI:33	I D ֆIUU.U	JUU TU,UUL	r g o Fille	a 10,00	0 Outstanding	}			V station



Step3											
21:	33 Q	1	B \$100	10,000		7 Warni	ngs!	1 Waiting Approval!	Trade	TS	DS
MWS Parody [User: FRANCIS, Version: 1.02.r33370]											
			STO	ск	PRICE		QTY				
				KER				CLIENT			
							al by Supervis				
	Request	Time	Stock	B/S	Price		Account ID		Message		
	Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds th			
	Trade Input	19:30	1	S	131.000	2000		2081 Warning! Price 210			
	Trade Input	19:30	1	S	10.000	1000		2081 Warning! Price 210		minal. F	Please
	Order Input	19:13	9	В	10.000		CFRANCIS				
	Order Input	19:13		В	100.000		CFRANCIS	Reference Is Not Availabl	e		
	Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not availa			
	Order Input	18:26		В	10.000	1000	CFRANCIS	Reference Is Not Availabl	e		
	HSI 22133.9 21:33 1 B \$10	97 -319.92 10.000 10,000		9779.72 ed 10,000			67.147B			S	speed