

4.31.4 Price Warning Mechanism (For Trade Input With Security Nominal Price Not Available)

Price warning mechanism for trade input without available security nominal price, user enters security code and price. If all is correct, a sale submitted message is displayed.

The background colour of input window for trade input turns red. The re-entered price and quantity must be the same as original. After the trade is submitted, the background returns to its usual colour. If trader presses ABORT key during trade input or closes the trade input window, the trade will be cancelled.

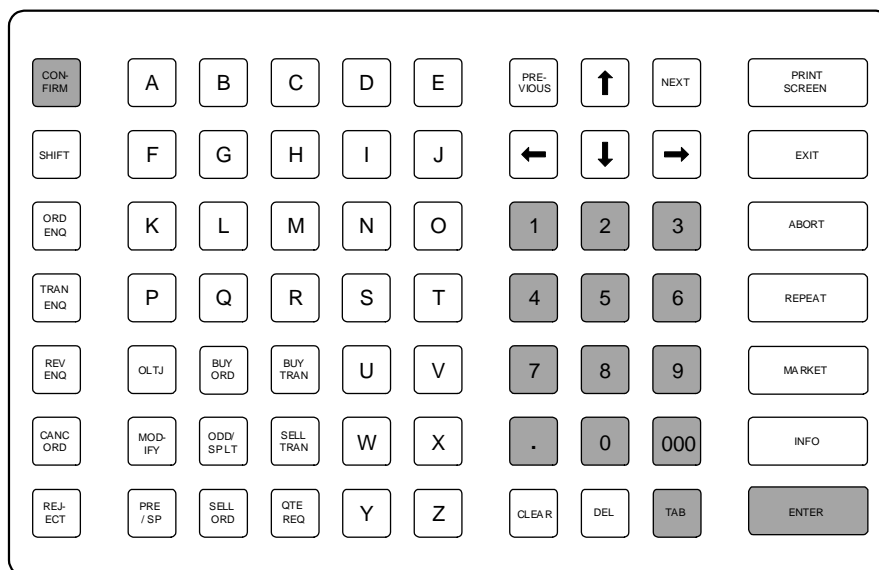
For Exchange's customized-keyboard:

- Step1: Input Data
- Step2: Press ENTER
- Step3: Press CONFIRM

For 101-keyboard:

- Step1: Input Data
- Step2: Press ENTER
- Step3: Press ALT+F3

Exchange's customized-keyboard



Fields for user reference

Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
STOCK	Number (5)	IN	Mandatory	Security Code, must equal to the number shown in price warning message
PRICE	Number (9999.999)	IN	Mandatory	Price with 3 decimal places, must equal to the number shown in price warning message

Step1

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

SELL TRAN STOCK [] PRICE 0.000 QTY 1,000
 BROKER 4008 DIR? N I/B OS? N REF SS? N TC A CLIENT CFRANCIS
 Reference price not available

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

HSI 22133.97 -319.92 CEI 9779.72 -103.22 T/O 67.147B

21:33 1 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding

Step2

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

SELL TRAN STOCK 1 PRICE 100.000 QTY 1,000
 CHEUNG KONG BROKER 4008 DIR? N I/B OS? N REF SS? N TC A CLIENT CFRANCIS
 長江實業 Please confirm

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:27	1	S	100.000	1000	CFRANCIS	Reference price not available
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

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21:33 1 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding

Step3

21:33 Q 1 B \$100 10,000 7 Warnings! 1 Waiting Approval! Trade TS DS

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	131.000	2000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

Order is entered and waiting for approval by Supervisor

STOCK PRICE QTY
BROKER CLIENT

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21:33 1 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding