

### 4.31.6 Price Warning Mechanism (For Trade Input or Modify With Consideration Warning)

Price warning mechanism for trade input with consideration warning is triggered when the trade amount exceeded the limit. The user re-enters price and quantity. If all is correct, a sale submitted message is displayed.

The background colour of input window for trade input turns red. The re-entered price and quantity must be the same as original. After the trade is submitted, the background returns to its usual colour. If trader presses ABORT key during trade input or closes the trade input window, the trade will be cancelled.

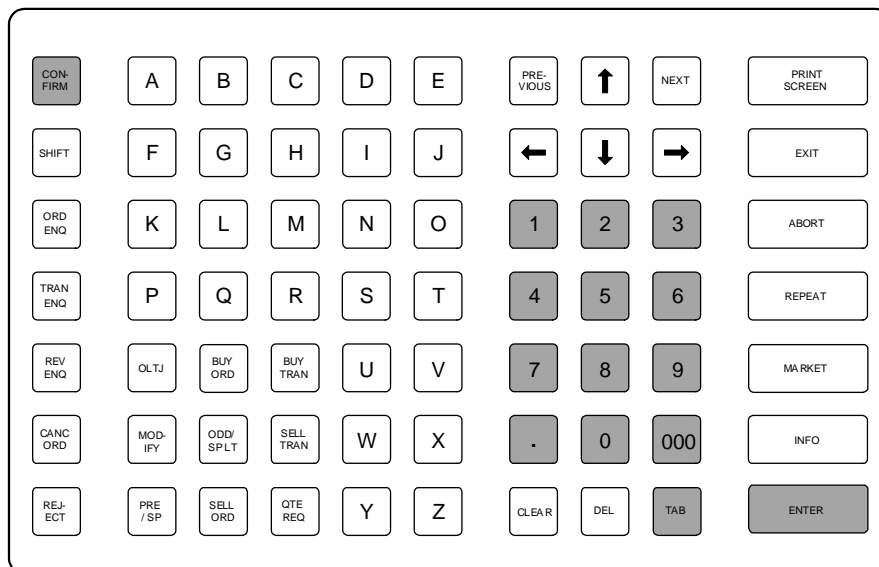
#### For Exchange's customized-keyboard:

- Step1: Input Data
- Step2: Press ENTER
- Step3: Press CONFIRM

#### For 101-keyboard:

- Step1: Input Data
- Step2: Press ENTER
- Step3: Press ALT+F3

#### Exchange's customized-keyboard



#### Fields for user reference

Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
PRICE	Number (9999.999)	IN	Mandatory	Price with 3 decimal places, must equal to the number shown in price warning message
QTY	Number (8)	IN	Mandatory	Quantity, must equal to the number shown in price warning message

Step1


MWS Parody [User: FRANCIS, Version: 1.02.r33370]

SELL TRAN STOCK 1 PRICE 0.000 QTY 0  
 CHEUNG KONG BROKER 2048 DIR? N I/B OS? N REF SS? N TC A CLIENT CFRANCIS  
 長江實業 Notional value exceeds threshold

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

S M 1 \$131.000 2,000  
 HSI 22133.97 -319.92 CEI 9779.72 -103.22 T/O 67.147B

21:33 1 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding



Step2


MWS Parody [User: FRANCIS, Version: 1.02.r33370]

SELL TRAN STOCK 1 PRICE 131.000 QTY 4,000  
 CHEUNG KONG BROKER 2048 DIR? N I/B OS? N REF SS? N TC A CLIENT CFRANCIS  
 長江實業 Please confirm

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:32	1	S	131.000	4000	CFRANCIS	Notional value exceeds threshold
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

S M 1 \$131.000 2,000  
 HSI 22133.97 -319.92 CEI 9779.72 -103.22 T/O 67.147B

21:33 1 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding



Step3

21:37 Trade 1 A \$131 4,000 5 Warnings! 1 Waiting Approval! Trade TS DS

MWS Parody [User: FRANCIS, Version: 1.02.r33370]

Request	Time	Stock	B/S	Price	Qty	Account ID	Warning Message
Trade Input	19:30	1	S	10.000	1000	CFRANCIS	2081 Warning! Price 210 S from nominal. Please ...
Order Input	19:13	9	B	10.000	30000	CFRANCIS	Reference Is Not Available
Order Input	19:13	1	B	100.000	1000	CFRANCIS	Reference Is Not Available
Trade Input	18:28	1	S	10.000	1000	CFRANCIS	Reference price not available
Order Input	18:26	1	B	10.000	1000	CFRANCIS	Reference Is Not Available

S M 1 \$131.000 4,000  
 HSI 22133.97 -319.92 CEI 9779.72 -103.22 T/O 67.147B

21:33 1 B \$100.000 10,000 Q 0 Filled 10,000 Outstanding