

5 Supervisor function support by ETTSS's MWS emulation

5.1 Acknowledge Purchase

This function allows supervisor to acknowledge a trade alleged against his broker which was input by another broker. The operation is in two phases. The supervisor first performs "Purchase Review Enquiry". He then selects a trade record with entering reference, trade classification or client account to acknowledge the selected trade. If all is correct, a purchase acknowledged message is displayed.

For Exchange's

Exchange's customized-keyboard

customized-keyboard: Step1: Select Purchase

- Step2:Press BUY TRANStep3:Input DataStep4:Press ENTER
- Step5: Press CONFIRM

For 101-keyboard:

Step1:	Select Purchase
Step2:	Press F8
Step3:	Input Data
Step4:	Press ENTER
Step5:	Press ALT + F3

CON- FIRM	A	В	С	D	E	PRE- VIOUS	1	NEXT	PRINT SCREEN
SHIFT	F	G	Н	Ι	J	-	L	-	EXIT
ORD ENQ	К	L	Μ	Ν	Ο	1	2	3	ABORT
TRAN ENQ	Р	Q	R	S	Т	4	5	6	REPEAT
REV ENQ	OLTJ	BUY ORD	BUY TRAN	U	V	7	8	9	MARKET
CANC ORD	MOD- IFY	ODD/ SP LT	SELL TRAN	W	X	•	0	000	INFO
REJ- ECT	PRE /SP	SELL ORD	QTE REQ	Y	Ζ	CLEAR	DEL	ТАВ	ENTER

Field Name	Туре	IN/OUT	Optional / Mandatory	Remarks
REF	Characters (10)	IN	Optional	Broker Reference, 10 digits of characters for user to insert
ТС	Characters (2)	IN	Mandatory	Shortsell Indicator N= No Shortsell Origin Indicator A = Agency P = Principal Blank = None
CLIENT	Characters (8)	IN	Mandatory	Client Account, and it will map to trader's default account if it is empty



Step1

ерт										
MWS Parc	ody [Use	r: FRANCIS, Ve	rsion: 1.02.r34	568]						ti_ IO
REV ENQ B	UY TRAN	STOCK	PRICE	QT	Y					
		BROKER	TIME 00:00	INC	LUDE	DIREC [®]	T? 👘	N	(CLIENT
		 Purchase Revie 	w Enquiry							
Time MKT	Sto	:k	Price	QTY	Corr	Туре	тс	Rej (Client	User
16:04 MAIN	66 MTF	R CORPORATION	36.000	500	9454	R	NA		OFRANCIS	FRANCIS
16:04 MAIN	66 MTF	R CORPORATION	36.000	500	9454	R	N			
16:04 MAIN		R CORPORATION	36.000		9454	R	N			
11:40 MAIN		KREIT	42.000	1,000		R	N			
11:40 MAIN		K REIT	42.000	1,000		R	N			
11:40 MAIN		KREIT	42.000	1,000		R	NA	(OFRANCIS	FRANCIS
11:40 MAIN		KREIT	42.000	1,000		R	N			
11:40 MAIN		KREIT	42.000	1,000		R	NP	(OFRANCIS	FRANCIS
11:40 MAIN		K REIT	42.000	1,000		R	N			
11:40 MAIN		K REIT	42.000	1,000		R	N N			
11:40 MAIN	823 LIN	K REH	42.000	1,000	9454	R	N.			
									OLTJ	
HSI 23144.49		HKL 28204.1	6 +41.85	GEM 509	1.52			T/C) 38.375B	
20.051 40.40 4										SOP
20:05] 19:16 1	B HKD 10).000 1,000 Q 0 F	illed 1,000 Outsta	anding						🔨 stati

Step2

MWS P		User: FRANCIS, \	/ersion: 1.02.r	34568]					ti-lox
BUY TRAN		STOCK BROKER 945	66 PRICE 4 REF	36.000 QT	Y TYPE	R	500 TIME	TC A C	CLIENT
Time MKT		Stock	Price	QTY	Corr	Туре	TC Re	ej Client	User
16:04 MAIN	J 66	MTR CORPORATION	36.000	500	9454	R	NA	CFRANCIS	FRANCIS
16:04 MAIN	J 66	MTR CORPORATION	36.000	500	9454	R	N		
16:04 MAIN	V 66	MTR CORPORATION	36.000	500	9454	R	N		
11:40 MAIN	l 823	LINK REIT	42.000	1,000	9454	R	N *		
11:40 MAIN	↓ 823	LINK REIT	42.000	1,000	9454	R	N		
11:40 MAIN	V 823	LINK REIT	42.000	1,000	9454	R	NA	CFRANCIS	FRANCIS
11:40 MAIN	4 823	LINK REIT	42.000	1,000	9454	R	N		
11:40 MAIN	V 823	LINK REIT	42.000	1,000	9454	R	NP	CFRANCIS	FRANCIS
11:40 MAIN	¥ 823	LINK REIT	42.000	1,000	9454	R	N		
11:40 MAIN	l 823	LINK REIT	42.000	1,000	9454	R	N		
11:40 MAIN	↓ 823	LINK REIT	42.000	1,000	9454	R	N		
HSI 23144 [20:07] 19:1		23 HKL 28204 D 100.000 1,000 Q 0		GEM 509	9.52	-0.2	9	oltj 1/0 38.375B	



Step3& Step4

	Stepi									
MW	/S Parod	ly [User: FRANCIS, V	/ersion: 1.02.r3	34568]					0_0
BUY T	RAN		STOCK BROKER 945 Please confirm		36.000 QT	Y TYPE	R	500 TIME	TC P (CLIENT CFRANCIS
Time	МКТ		Stock	Price	QTY	Corr	Түре	TC R	ej Client	User
16:04		66	MTR CORPORATION			9454		NA	CFRANCIS	FRANCIS
16:04			MTR CORPORATION			9454	R	N		
16:04			MTR CORPORATION			9454	R	N		
11:40	MAIN	823	LINK REIT	42.000	1,000	9454			÷	
11:40			LINK REIT	42.000	1,000		R	N		
11:40	MAIN	823	LINK REIT	42.000	1,000	9454	R	NA	CFRANCIS	FRANCIS
11:40	MAIN	823	LINK REIT	42.000	1,000	9454	R	N		
11:40	MAIN	823	LINK REIT	42.000	1,000	9454	R	NP	CFRANCIS	FRANCIS
11:40	MAIN	823	LINK REIT	42.000	1,000	9454	R	N		
11:40	MAIN	823	LINK REIT	42.000	1,000	9454	R	N		
11:40	MAIN	823	LINK REIT	42.000	1,000	9454	R	N		
	3144.49				GEM 50 9).52	-0.29	9	oltj T/o 38.375B	
[20:07]	19:16 1 E	3 HKC	0,000,000 0,000 0,00	Filled 1,000 Out:	standing					V statio

Step5

		STOCK	PRICE	QT	γ					
		BROKER							CL	IENT
		Purchase Ackno	owledged							
ïme MKT		Stock	Price	QTY	Corr	Туре	тс	Rej	Client	User
6:04 MAIN	66	MTR CORPORATION	36.000	500	9454	R	NA		CFRANCIS	FRANCIS
6:04 MAIN	66	MTR CORPORATION	36.000	500	9454	R	NP		CFRANCIS	FRANCIS
6:04 MAIN	66	MTR CORPORATION	36.000	500	9454	R	N			
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	N			
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	N			
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	NA		CFRANCIS	FRANCIS
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	N			
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	NP		CFRANCIS	FRANCIS
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	N			
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	N			
1:40 MAIN	823	LINK REIT	42.000	1,000	9454	R	N			
		000 500 9454							OLTJ	
SI 23144.49				GEM 509	9.52		9	T/	O 38.375B	
1:081 19:16 1	I B HKD	100.000 1,000 Q 0 F	illed 1,000 Outst	anding						spe stat

16:04 Trade

66 🛛 🖯 HKD 36.000 500