

5 Supervisor function support by ETTSS's MWS emulation

5.1 Acknowledge Purchase

This function allows supervisor to acknowledge a trade alleged against his broker which was input by another broker. The operation is in two phases. The supervisor first performs "Purchase Review Enquiry". He then selects a trade record with entering reference, trade classification or client account to acknowledge the selected trade. If all is correct, a purchase acknowledged message is displayed.

For Exchange's

Exchange's customized-keyboard

customized-keyboard: Step1: Select Purchase

- Step2:Press BUY TRANStep3:Input DataStep4:Press ENTER
- Step5: Press CONFIRM

For 101-keyboard:

| Step1: | Select Purchase |
|--------|-----------------|
| Step2: | Press F8 |
| Step3: | Input Data |
| Step4: | Press ENTER |
| Step5: | Press ALT + F3 |

| CON- FIRM | A | В | С | D | E | PRE- VIOUS | 1 | NEXT | PRINT SCREEN |
|--------------|-------------|---------------|--------------|---|---|---------------|-----|------|-----------------|
| SHIFT | F | G | Н | Ι | J | - | L | - | EXIT |
| ORD ENQ | К | L | Μ | Ν | Ο | 1 | 2 | 3 | ABORT |
| TRAN ENQ | Р | Q | R | S | Т | 4 | 5 | 6 | REPEAT |
| REV ENQ | OLTJ | BUY ORD | BUY TRAN | U | V | 7 | 8 | 9 | MARKET |
| CANC ORD | MOD- IFY | ODD/ SP LT | SELL TRAN | W | X | • | 0 | 000 | INFO |
| REJ- ECT | PRE /SP | SELL ORD | QTE REQ | Y | Ζ | CLEAR | DEL | ТАВ | ENTER |

| Field Name | Туре | IN/OUT | Optional / Mandatory | Remarks |
|------------|--------------------|--------|-------------------------|---|
| REF | Characters (10) | IN | Optional | Broker Reference, 10 digits of characters for user to insert |
| ТС | Characters (2) | IN | Mandatory | Shortsell Indicator N= No Shortsell Origin Indicator A = Agency P = Principal Blank = None |
| CLIENT | Characters (8) | IN | Mandatory | Client Account, and it will map to trader's default account if it is empty |



Step1

| ерт | | | | | | | | | | |
|----------------|----------|------------------------------------|--------------------|---------|------|--------------------|--------|-------|-----------|------------|
| MWS Parc | ody [Use | r: FRANCIS, Ve | rsion: 1.02.r34 | 568] | | | | | | ti_ IO |
| REV ENQ B | UY TRAN | STOCK | PRICE | QT | Y | | | | | |
| | | BROKER | TIME 00:00 | INC | LUDE | DIREC [®] | T? 👘 | N | (| CLIENT |
| | | Purchase Revie | w Enquiry | | | | | | | |
| Time MKT | Sto | :k | Price | QTY | Corr | Туре | тс | Rej (| Client | User |
| 16:04 MAIN | 66 MTF | R CORPORATION | 36.000 | 500 | 9454 | R | NA | | OFRANCIS | FRANCIS |
| 16:04 MAIN | 66 MTF | R CORPORATION | 36.000 | 500 | 9454 | R | N | | | |
| 16:04 MAIN | | R CORPORATION | 36.000 | | 9454 | R | N | | | |
| 11:40 MAIN | | KREIT | 42.000 | 1,000 | | R | N | | | |
| 11:40 MAIN | | K REIT | 42.000 | 1,000 | | R | N | | | |
| 11:40 MAIN | | KREIT | 42.000 | 1,000 | | R | NA | (| OFRANCIS | FRANCIS |
| 11:40 MAIN | | KREIT | 42.000 | 1,000 | | R | N | | | |
| 11:40 MAIN | | KREIT | 42.000 | 1,000 | | R | NP | (| OFRANCIS | FRANCIS |
| 11:40 MAIN | | K REIT | 42.000 | 1,000 | | R | N | | | |
| 11:40 MAIN | | K REIT | 42.000 | 1,000 | | R | N N | | | |
| 11:40 MAIN | 823 LIN | K REH | 42.000 | 1,000 | 9454 | R | N. | | | |
| | | | | | | | | | | |
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| | | | | | | | | | OLTJ | |
| HSI 23144.49 | | HKL 28204.1 | 6 +41.85 | GEM 509 | 1.52 | | | T/C |) 38.375B | |
| | | | | | | | | | | |
| 20.051 40.40 4 | | | | | | | | | | SOP |
| 20:05] 19:16 1 | B HKD 10 |).000 1,000 Q 0 F | illed 1,000 Outsta | anding | | | | | | 🔨 stati |

Step2

| MWS P | | User: FRANCIS, \ | /ersion: 1.02.r | 34568] | | | | | ti-lox |
|---------------------------|-------|-------------------------------------|-------------------|-----------|-----------|------|-------------|---------------------|---------|
| BUY TRAN | | STOCK BROKER 945 | 66 PRICE 4 REF | 36.000 QT | Y TYPE | R | 500 TIME | TC A C | CLIENT |
| Time MKT | | Stock | Price | QTY | Corr | Туре | TC Re | ej Client | User |
| 16:04 MAIN | J 66 | MTR CORPORATION | 36.000 | 500 | 9454 | R | NA | CFRANCIS | FRANCIS |
| 16:04 MAIN | J 66 | MTR CORPORATION | 36.000 | 500 | 9454 | R | N | | |
| 16:04 MAIN | V 66 | MTR CORPORATION | 36.000 | 500 | 9454 | R | N | | |
| 11:40 MAIN | l 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N * | | |
| 11:40 MAIN | ↓ 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 MAIN | V 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | NA | CFRANCIS | FRANCIS |
| 11:40 MAIN | 4 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 MAIN | V 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | NP | CFRANCIS | FRANCIS |
| 11:40 MAIN | ¥ 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 MAIN | l 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 MAIN | ↓ 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| | | | | | | | | | |
| HSI 23144 [20:07] 19:1 | | 23 HKL 28204 D 100.000 1,000 Q 0 | | GEM 509 | 9.52 | -0.2 | 9 | oltj 1/0 38.375B | |



Step3& Step4

| | Stepi | | | | | | | | | |
|---------|-----------|-------|---------------------------------------|-------------------|-----------------|-----------|-------|-------------|---------------------|-----------------|
| MW | /S Parod | ly [| User: FRANCIS, V | /ersion: 1.02.r3 | 34568] | | | | | 0_0 |
| BUY T | RAN | | STOCK BROKER 945 Please confirm | | 36.000 QT | Y TYPE | R | 500 TIME | TC P (| CLIENT CFRANCIS |
| Time | МКТ | | Stock | Price | QTY | Corr | Түре | TC R | ej Client | User |
| 16:04 | | 66 | MTR CORPORATION | | | 9454 | | NA | CFRANCIS | FRANCIS |
| 16:04 | | | MTR CORPORATION | | | 9454 | R | N | | |
| 16:04 | | | MTR CORPORATION | | | 9454 | R | N | | |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | | | ÷ | |
| 11:40 | | | LINK REIT | 42.000 | 1,000 | | R | N | | |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | NA | CFRANCIS | FRANCIS |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | NP | CFRANCIS | FRANCIS |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| 11:40 | MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | |
| | | | | | | | | | | |
| | 3144.49 | | | | GEM 50 9 |).52 | -0.29 | 9 | oltj T/o 38.375B | |
| [20:07] | 19:16 1 E | 3 HKC | 0,000,000 0,000 0,00 | Filled 1,000 Out: | standing | | | | | V statio |

Step5

| | | STOCK | PRICE | QT | γ | | | | | |
|---------------|---------|---------------------|-------------------|---------|------|------|----|-----|-----------|----------|
| | | BROKER | | | | | | | CL | IENT |
| | | Purchase Ackno | owledged | | | | | | | |
| ïme MKT | | Stock | Price | QTY | Corr | Туре | тс | Rej | Client | User |
| 6:04 MAIN | 66 | MTR CORPORATION | 36.000 | 500 | 9454 | R | NA | | CFRANCIS | FRANCIS |
| 6:04 MAIN | 66 | MTR CORPORATION | 36.000 | 500 | 9454 | R | NP | | CFRANCIS | FRANCIS |
| 6:04 MAIN | 66 | MTR CORPORATION | 36.000 | 500 | 9454 | R | N | | | |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | | |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | | |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | NA | | CFRANCIS | FRANCIS |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | | |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | NP | | CFRANCIS | FRANCIS |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | | |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | | |
| 1:40 MAIN | 823 | LINK REIT | 42.000 | 1,000 | 9454 | R | N | | | |
| | | | | | | | | | | |
| | | 000 500 9454 | | | | | | | OLTJ | |
| SI 23144.49 | | | | GEM 509 | 9.52 | | 9 | T/ | O 38.375B | |
| 1:081 19:16 1 | I B HKD | 100.000 1,000 Q 0 F | illed 1,000 Outst | anding | | | | | | spe stat |

16:04 Trade

66 🛛 🖯 HKD 36.000 500