

5 Supervisor function support by ETTSS's MWS emulation

5.1 Acknowledge Purchase

This function allows supervisor to acknowledge a trade alleged against his broker which was input by another broker. The operation is in two phases. The supervisor first performs "Purchase Review Enquiry". He then selects a trade record with entering reference, trade classification or client account to acknowledge the selected trade. If all is correct, a purchase acknowledged message is displayed.

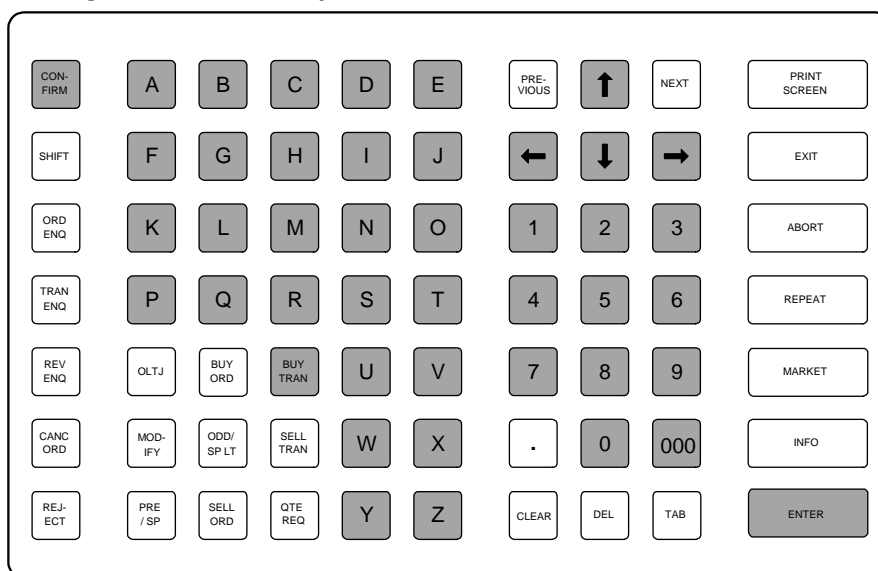
For Exchange's customized-keyboard:

- Step1: Select Purchase
- Step2: Press BUY TRAN
- Step3: Input Data
- Step4: Press ENTER
- Step5: Press CONFIRM

For 101-keyboard:

- Step1: Select Purchase
- Step2: Press F8
- Step3: Input Data
- Step4: Press ENTER
- Step5: Press ALT + F3

Exchange's customized-keyboard



Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
REF	Characters (10)	IN	Optional	Broker Reference, 10 digits of characters for user to insert
TC	Characters (2)	IN	Mandatory	Shortsell Indicator N= No Shortsell Origin Indicator A = Agency P = Principal Blank = None
CLIENT	Characters (8)	IN	Mandatory	Client Account, and it will map to trader's default account if it is empty

Step1

MWS Parody [User: FRANCIS, Version: 1.02.r34568]											
REV ENQ	BUY TRAN	STOCK	PRICE	QTY	INCLUDE DIRECT?			CLIENT			
		BROKER	TIME	00:00	N						
Purchase Review Enquiry											
Time	MKT	Stock	Price	QTY	Corr	Type	TC	Rej	Client	User	
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	NA		CFRANCIS	FRANCIS	
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N				
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N	*			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NA		CFRANCIS	FRANCIS	
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NP		CFRANCIS	FRANCIS	
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
										OLTJ	
HSI	23144.49	-37.23	HKL	28204.16	+41.85	GEM	509.52	-0.29	T/O	38.375B	
[20:05] 19:16 1 B HKD 100.000 1,000 Q.0 Filled 1,000 Outstanding											

Step2

MWS Parody [User: FRANCIS, Version: 1.02.r34568]											
BUY TRAN	STOCK	66	PRICE	36.000	QTY	500			CLIENT		
		BROKER	9454	REF	TYPE R			TIME	TC	A	
Time	MKT	Stock	Price	QTY	Corr	Type	TC	Rej	Client	User	
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	NA		CFRANCIS	FRANCIS	
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N				
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N	*			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NA		CFRANCIS	FRANCIS	
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NP		CFRANCIS	FRANCIS	
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N				
										OLTJ	
HSI	23144.49	-37.23	HKL	28204.16	+41.85	GEM	509.52	-0.29	T/O	38.375B	
[20:07] 19:16 1 B HKD 100.000 1,000 Q.0 Filled 1,000 Outstanding											

Step3& Step4

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

BUY TRAN STOCK 66 PRICE 36.000 QTY 500
 BROKER 9454 REF ACKN TYPE R TIME TC P CLIENT CFRANCIS
 Please confirm

Time	MKT	Stock	Price	QTY	Corr	Type	TC	Rej	Client	User
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	NA		CFRANCIS	FRANCIS
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N			
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N	*		
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NA		CFRANCIS	FRANCIS
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NP		CFRANCIS	FRANCIS
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			

HSI 23144.49 -37.23 HKL 28204.16 +41.85 GEM 509.52 -0.29 OLTJ T/O 38.375B

[20:07] 19:16 1 B HKD 100.000 1,000 Q:0 Filled 1,000 Outstanding

Step5

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

STOCK PRICE QTY
 BROKER CLIENT
 Purchase Acknowledged

Time	MKT	Stock	Price	QTY	Corr	Type	TC	Rej	Client	User
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	NA		CFRANCIS	FRANCIS
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	NP		CFRANCIS	FRANCIS
16:04	MAIN	66 MTR CORPORATION	36.000	500	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N	*		
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NA		CFRANCIS	FRANCIS
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	NP		CFRANCIS	FRANCIS
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			
11:40	MAIN	823 LINK REIT	42.000	1,000	9454	R	N			

16:04 B R 66 HKD 36.000 500 9454 OLTJ
 HSI 23144.49 -37.23 HKL 28204.16 +41.85 GEM 509.52 -0.29 T/O 38.375B

[20:08] 19:16 1 B HKD 100.000 1,000 Q:0 Filled 1,000 Outstanding

16:04 Trade 66 B HKD 36.000 500