5.8 Broker Order Activity Enquiry (Static)

This non-real-time enquiry allows users using supervisor role to enquire on all order-related operations, including response from market and hosts. The supervisor enters security code, price, quantity, user ID, broker ID, time or market code to restrict the information displayed. If all is correct, all order-related operations and paired market actions performed by using the specified user ID or broker ID so far today are displayed. "*" (Asterisk) is added to time column to show the server response time.
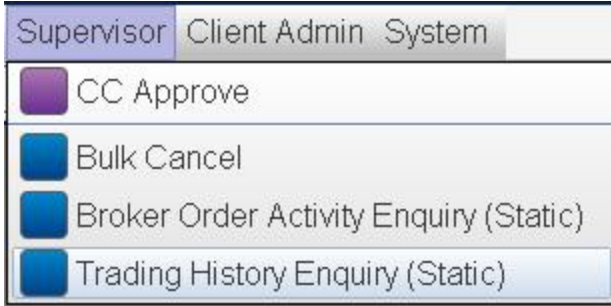
The Broker Order Activity Enquiry (Static) on supervisor role cannot be opened by HKEX's customized keyboard. User has to open the function by using Mouse.

Fields for user reference

Field Name	Type	IN/OUT	Optional / Mandatory	Remarks
STOCK	Character(5)	IN	Optional	Security Code FILTER Show all orders if it is blank
PRICE	Numeric (9999.999)	IN	Optional	Order Price with 3 decimal places, will only show the orders activities that matches the price insert Show all orders if it is blank
QTY	Numeric (8)	IN	Optional	Quantity filter, will only show the order activities that matches with the quantity insert Show all orders if it is blank
BROKER	Numeric (4)	IN	Optional	Broker ID, will only show the order activities that handled by selected broker Show all orders if it is blank
USER ID	Characters(8)	IN	Optional	User ID Filter All the action performed by selected user will be displayed. Show all orders if it is blank
START TIME	Time (HH.MM)	IN	Optional	Time filter with hours and minutes, 24 Hours format The orders before the Time will be hidden from enquiry By default it is 00:00, which will show all orders
MKT	Character (4)	IN	Optional	Market filter MAIN = Main Market GEM = GEM Market ETS = Extended Trading Securities NASD = NASDAQ Blank = Show all market
CLIENT	Characters(8)	IN	Optional	Client Filter Show all orders if it is blank

Step1

In the Supervisor menu, click "Broker Order Activity Enquiry (Static)"

Step2

Input Data

Step3
Press ENTER

Remarks:
The operation without "*" asterisks next to the time field, is local operation. "*" asterisks are added to time column to indicate the Hosts server response time.

Remarks: Operations generated in Broker Order Activity Enquiry (Static)

Operation - Request	Description
Input	Input new order
Input re-enter(C)	Consideration Warning re-enter during new order
Input re-enter(P)	Price Warning re-enter during new order
Modify	Modify order
Mod. re-enter(C)	Consideration Warning re-enter during modify order
Mod. re-enter(P)	Price Warning re-enter during modify order
Cancel	Cancel order
Input to CC	Input new order with Credit Check required
Input re-enter(C)to CC	Consideration Warning re-enter during new order with Credit Check required
Input re-enter(P)to CC	Price Warning re-enter during new order with Credit Check required
Modify to CC	Modify order with Credit Check required
Mod. re-enter(C)to CC	Consideration Warning re-enter during modify order with Credit Check required
Mod. re-enter(P)to CC	Price Warning re-enter during modify order with Credit Check required
Broker Bulk Cancel	Issue Broker Bulk Cancel & trigger a trader bulk cancel activity to clear the requests
Trader Bulk Cancel - XX	Issue Trader Bulk Cancel with XX cancel orders submitted

Operation - Status	Description
Pending Trading Status	Pending for available trading session
Order Expired	Order expired (eg. Auction Order not match)
To Market	Order is sent to market
CC Approved To MKT	Order is approved by Credit Check
CC Rejected	Order is rejected by Credit Check
Pend. CC Appr.	Order is pending for Credit Check Approval
Market Accept	Order send to market
Market Reject	Order reject by market
OrderCXL	Order is cancelled
OrderCXL - CTD Single	Cross Trading Device cancel order
OrderCXL - CTD Bulk	Cross Trading Device bulk cancel order
OrderCXL - COD	Order cancel on demand
OrderCXL - Broker Sus.	Order cancelled because of broker suspension
OrderCXL - Firm Sus.	Order cancelled because firm suspension
OrderCXL - Exchange	Order cancelled by HKEX administrator
OrderCXL - Unsolicited	Unsolicited order cancel (eg. Amend Reject because of invalid price)
OrderCXL - Bulk	Order cancelled by bulk cancel order